

# FY 2008 - 09 Adopted Budget and Multi-Year Capital Plan

## Inspector General

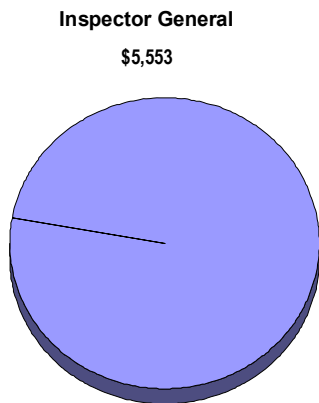
The Office of Inspector General (OIG) serves the residents of Miami-Dade County by identifying fraud, mismanagement, waste, and abuse of power in County projects, programs, and contracts. In response to the public's demand for more accountable government, the Board of County Commissioners (BCC) created the Office of Inspector General. The BCC determined that oversight of such a large and diverse government required the OIG to be independent and autonomous. To effectively uphold this mandate, the BCC vested the OIG with independent status so that it could carry out its goals without political interference. In March 2005, the BCC amended Section 2-1076 of the Code of Miami-Dade County to clarify and crystallize the process and independence of the OIG. The ordinance sets forth a four-year term and significantly modifies the selection and appointment process for future Inspectors General.

As part of the Enabling Strategies strategic area, the OIG is authorized to detect, investigate, and, where possible, prevent fraud, waste, mismanagement, and abuse of power in County projects, programs, and contracts. Furthermore, the OIG routinely reviews and evaluates proposals, contracts, and programs for such criteria as cost and quality control, time management, program and project management, performance standards, consultant performance, subcontracting assignments, and safety issues. The OIG also investigates a variety of cases, including investigations of contractors doing business and/or receiving funds from the County and cases of employee and official misconduct.

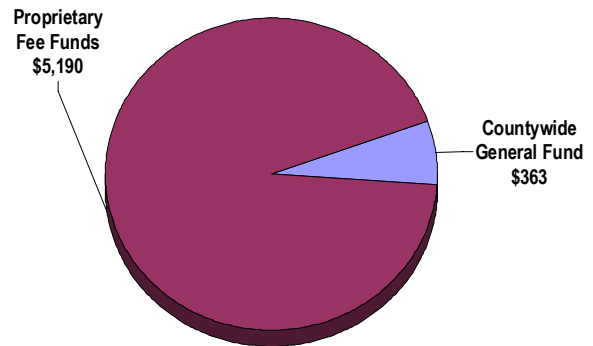
The OIG's jurisdiction encompasses all County departments, agencies, instrumentalities, and the programs thereunder. This jurisdiction extends to all County employees, public officials, elected officials, and vendors and contractors doing business with the County. The OIG has been designated a criminal justice agency by the Federal Bureau of Investigations.

## FY 2008-09 Adopted Budget

**Expenditures by Activity**  
(dollars in thousands)



**Revenues by Source**  
(dollars in thousands)



## FY 2008 - 09 Adopted Budget and Multi-Year Capital Plan

### TABLE OF ORGANIZATION

<b><u>INSPECTOR GENERAL</u></b>	
<ul style="list-style-type: none"> <li>• Investigates fraud, waste, mismanagement, and corruption within Miami-Dade County government; provides all professional functions in the office's efforts to investigate, audit and inspect programs, projects, and contracts to detect and prevent fraud, mismanagement, waste, and abuse; publicly reports findings and initiates or makes civil, administrative, and criminal referrals where necessary; communicates the office's accomplishments through report distribution, website communications, and public awareness</li> </ul>	
<u>FY 07-08</u>	<u>FY 08-09</u>
38	38

### FINANCIAL SUMMARY

(dollars in thousands)	Actual FY 06-07	Budget FY 07-08	Adopted FY 08-09
<b>Revenue Summary</b>			
General Fund Countywide	1,654	1,019	363
Carryover	943	1,400	1,710
Departmental Oversight (MOUs)	962	800	1,250
Interest Earnings	105	40	20
Miscellaneous Revenues	226	0	10
Proprietary Fees	3,015	1,950	2,200
Total Revenues	6,905	5,209	5,553
<b>Operating Expenditures Summary</b>			
Salary	3,057	3,710	3,911
Fringe Benefits	816	919	966
Other Operating	669	544	640
Capital	55	36	36
Total Operating Expenditures	4,597	5,209	5,553

(dollars in thousands)	Total Funding		Total Positions	
Expenditure By Program	Budget FY 07-08	Adopted FY 08-09	Budget FY 07-08	Adopted FY 08-09
<b>Strategic Area: Enabling Strategies</b>				
Inspector General	5,209	5,553	38	38
Total Operating Expenditures	5,209	5,553	38	38

### SELECTED ITEM HIGHLIGHTS AND DETAILS

Line Item Highlights	(dollars in thousands)				
	Actual FY 05-06	Actual FY 06-07	Budget FY 07-08	Actual FY 07-08	Budget FY 08-09
Travel	20	27	25	16	25
In-service Training	2	0	3	1	3

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### **DIVISION: INSPECTOR GENERAL**

The OIG's principle objective is to promote honesty and efficiency in government and to prevent and detect misconduct, fraud and abuse in county programs and contracts. The OIG strives to ensure that taxpayers get a fair and honest accounting of their money and, where possible, to seek appropriate remedies to recover public funds.

- Investigates, audits and inspects programs, projects, and contracts to detect and prevent fraud, mismanagement, waste and abuse
- Publicly reports findings and initiates or makes civil, administrative, and criminal referrals where necessary
- Communicates the office's accomplishments through report distribution, website communication, and public awareness

### **Strategic Plan Outcome - Measures**

- ES9-4: Accountability to the public at every level of the organization (priority outcome)

Objectives	Measures	FY 06-07		FY 07-08		FY 08-09
		Target	Actual	Target	Actual	Target
Continue to provide the public with access to register their concerns via the OIG website and "Fraud Hotline"	Written complaints received	120	216	180	119	140
	Complaints received via the OIG's website	146	176	180	136	150
	Complaints received via the OIG's hotline	108	194	200	166	125

Objectives	Measures	FY 06-07		FY 07-08		FY 08-09
		Target	Actual	Target	Actual	Target
Increase the public's awareness of the OIG's findings by providing easy access to reports and information distributed by the OIG via the Internet	Contracts/Programs audited and reviewed	26	23	27	22	25
	Reports issued	17	13	20	23	20

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### **BUDGET PRIORITIES**

- Continue to provide the public with access to register their concerns via the OIG website and "Fraud Hotline"
- Increase the public's awareness of the OIG's findings by providing easy access to reports and information distributed by the OIG via the Internet
- Increase the integrity and compliance of County contracts and programs
- Continue professional education in public oversight functions of investigations, audits, inspections, and reviews; continue professional development towards certification in related fields

### **Budget Enhancements or Reductions and Additional Comments**

- In FY 2007-2008, in addition to the number of final public reports issued, OIG investigative activities resulted in the publication of 10 advisory memoranda throughout the year; these memoranda are posted on the OIG's website and list the reportable outcomes of OIG investigations including arrests and convictions
- In FY 2007-08, significant OIG program and contract oversight activities included monitoring of the Water & Sewer Department's High Yield Disinfection program - this capital construction program will be on-going over the next several years at the South Dade wastewater treatment facility; other OIG focused oversight efforts include the Seaport Department's contract negotiations with its cargo terminal operators
- In FY 2007-08, OIG investigations, audits, and reviews identified over \$28 million in questionable costs and identified losses and damages; the OIG's efforts have resulted in over \$12.4 million in recoveries, savings, and cost avoidances for the County
- In FY 2007-08, OIG auditors completed a massive audit of the Water and Sewer Department's (WASD) Security Clearances and Identification Card Issuance Controls; the security audit, although confidential, will assist WASD in making improvements to its restriction designation of facilities and with its internal controls related to ID cards and restricted areas access
- The OIG's FY 2008-09 initiatives include continuing oversight of the Building Better Communities Bond program and the monitoring of the Public Health Trust's capital construction initiatives
- The FY 2008-09 Adopted Budget includes charges of one quarter of one percent to certain procurement and construction contracts (\$2.210 million), as well as additional reimbursements of \$1.250 million for audits and investigative work that will be performed at the Miami-Dade Aviation Department (\$400,000), Miami-Dade Water and Sewer Department (\$200,000), Department of Solid Waste Management (\$100,000), Miami-Dade Transit (\$150,000), and Miami-Dade County School Board (\$400,000)
- The FY 2008-09 Adopted Budget restores two vacant positions funded by the Department's proprietary revenues
- *The OIG's General Fund subsidy decreased to \$363,000 in FY 2008-09 from \$1.019 million in FY 2007-08 as a result of higher carryover into FY 2008-09*