

FY 2008 - 09 Proposed Resource Allocation and Multi-Year Capital Plan

Inspector General

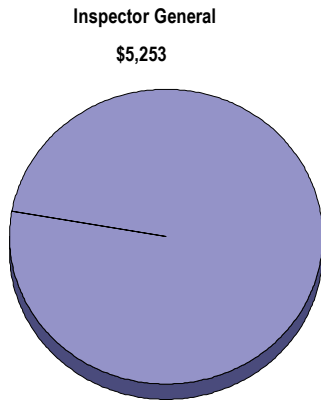
The Office of Inspector General (OIG) serves the residents of Miami-Dade County by identifying fraud, mismanagement, waste, and abuse of power in County projects, programs, and contracts. In response to the public's demand for more accountable government, the Board of County Commissioners (BCC) created the Office of Inspector General. The BCC determined that oversight of such a large and diverse government required the OIG to be independent and autonomous. To effectively uphold this mandate, the BCC vested the OIG with independent status so that it could carry out its goals without political interference. In March 2005, the BCC amended Section 2-1076 of the Code of Miami-Dade County to clarify and crystallize the process and independence of the OIG. The ordinance sets forth a four-year term and significantly modifies the selection and appointment process for future Inspectors General.

As part of the Enabling Strategies strategic area, the OIG is authorized to detect, investigate, and, where possible, prevent fraud, waste, mismanagement, and abuse of power in County projects, programs, and contracts. Furthermore, the OIG routinely reviews and evaluates proposals, contracts, and programs for such criteria as cost and quality control, time management, program and project management, performance standards, consultant performance, subcontracting assignments, and safety issues. The OIG also investigates a variety of cases, including investigations of contractors doing business and/or receiving funds from the County and cases of employee and official misconduct.

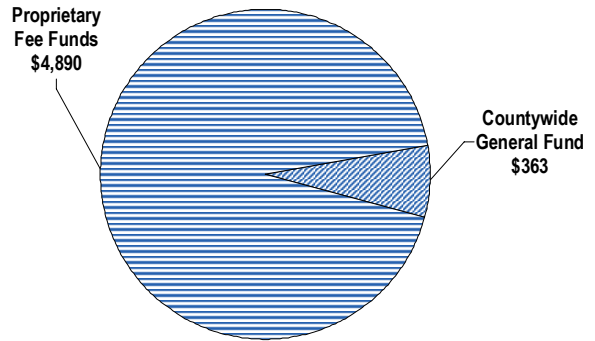
The OIG's jurisdiction encompasses all County departments, agencies, instrumentalities, and the programs thereunder. This jurisdiction extends to all County employees, public officials, elected officials, and vendors and contractors doing business with the County.

FY 2008-09 Proposed Budget

Expenditures by Activity
(dollars in thousands)



Revenues by Source
(dollars in thousands)



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TABLE OF ORGANIZATION

<u>INSPECTOR GENERAL</u>	
<ul style="list-style-type: none"> • Investigates fraud, waste, mismanagement, and corruption within Miami-Dade County government; provides all professional functions in the office's efforts to investigate, audit and inspect programs, projects, and contracts to detect and prevent fraud, mismanagement, waste, and abuse; publicly reports findings and initiates or makes civil, administrative, and criminal referrals where necessary; communicates the office's accomplishments through report distribution, website communications, and public awareness 	
<u>FY 07-08</u>	<u>FY 08-09</u>
38	36

FINANCIAL SUMMARY

(dollars in thousands)	Actual FY 06-07	Budget FY 07-08	Proposed FY 08-09
Revenue Summary			
General Fund Countywide	1,654	1,019	363
Carryover	943	1,400	1,710
Departmental Oversight (MOUs)	962	800	950
Interest Earnings	105	40	20
Miscellaneous Revenues	226	0	10
Proprietary Fees	3,015	1,950	2,200
Total Revenues	6,905	5,209	5,253
Operating Expenditures Summary			
Salary	3,057	3,710	3,741
Fringe Benefits	816	919	918
Other Operating	669	544	558
Capital	55	36	36
Total Operating Expenditures	4,597	5,209	5,253

(dollars in thousands) Expenditure By Program	Total Funding		Total Positions	
	Budget FY 07-08	Proposed FY 08-09	Budget FY 07-08	Proposed FY 08-09
Strategic Area: Enabling Strategies				
Inspector General	5,209	5,253	38	36
Total Operating Expenditures	5,209	5,253	38	36

SELECTED ITEM HIGHLIGHTS AND DETAILS

Line Item Highlights	(dollars in thousands)				
	Actual FY 05-06	Actual FY 06-07	Budget FY 07-08	Projection FY 07-08	Proposed FY 08-09
Travel	20	27	25	25	25
In-service Training	2	0	3	3	3

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DIVISION: INSPECTOR GENERAL

The OIG's principle objective is to promote honesty and efficiency in government and to prevent and detect misconduct, fraud and abuse in county programs and contracts. The OIG strives to ensure that taxpayers get a fair and honest accounting of their money and, where possible, to seek appropriate remedies to recover public funds.

- Investigates, audits and inspects programs, projects, and contracts to detect and prevent fraud, mismanagement, waste and abuse
- Publicly reports findings and initiates or makes civil, administrative, and criminal referrals where necessary
- Communicates the office's accomplishments through report distribution, website communication, and public awareness

Strategic Plan Outcome - Measures

- ES9-4: Accountability to the public at every level of the organization (priority outcome)

	Measures	FY 06-07		FY 07-08		FY 08-09
		Target	Actual	Target	Projection	Target
Continue to provide the public with access to register their concerns via the OIG website and "Fraud Hotline"	Written complaints received	120	216	180	140	140
	Complaints received via the OIG's website	146	176	180	150	150
	Complaints received via the OIG's hotline	108	194	200	125	125

	Measures	FY 06-07		FY 07-08		FY 08-09
		Target	Actual	Target	Projection	Target
Increase the public's awareness of the OIG's findings by providing easy access to reports and information distributed by the OIG via the Internet	Contracts/Programs audited and reviewed	26	23	27	23	25
	Reports issued	17	13	20	18	20

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BUDGET PRIORITIES

Strategic Plan Outcome	Programs/Initiatives	Impact
ES9-4: Accountability to the public at every level of the organization (priority outcome)	Continue to provide the public with access to register their concerns via the OIG website and "Fraud Hotline"	Acknowledge concerns received via the "Fraud Hotline" and website; respond to complaints and provide complainants with assistance, such as referrals to another agency or initiating investigations, as warranted
ES2-1: Easily accessible information regarding County services and programs	Increase the public's awareness of the OIG's findings by providing easy access to reports and information distributed by the OIG via the Internet	Continue to update the OIG website on a monthly basis and post all public final reports when available
ES8-1: Sound asset management and financial investment strategies	Increase the integrity and compliance of County contracts and programs	Continue to conduct random audits of at least ten County contracts and/or programs per year
ES5-2: Retention of excellent employees	Continue professional education in public oversight functions of investigations, audits, inspections, and reviews; continue professional development towards certification in related fields	Enhance OIG's depth of oversight knowledge and expertise in specific fields; improve communication of oversight results through well documented and soundly prepared reports; and maintain investigative and audit resources to provide countywide oversight

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Budget Enhancements or Reductions and Additional Comments

- In FY 2006-07, aside from the number of public reports issued, OIG investigative activities resulted in the publication of 13 memoranda of findings and recommendations throughout the year; these memoranda are posted on the OIG's website and list the reportable outcomes of OIG investigations including arrests and convictions
- In FY 2007-08, significant OIG program and contract oversight activities included monitoring of the Water & Sewer Department's High Yield Disinfection program - this capital construction program will be on-going over the next several years at the South Dade wastewater treatment facility; other OIG focused oversight efforts include the Seaport Department's contract negotiations with its cargo terminal operators
- In FY 2006-07, OIG investigations, audits, and reviews identified over \$5.4 million in questionable costs and savings and over \$2.9 million in recoveries, restitutions, and savings for the County
- The OIG's FY 2008-09 initiatives include continuing oversight of the Building Better Communities Bond program and the monitoring of the Public Health Trust's capital construction initiatives
- The FY 2008-09 Proposed Resource Allocation Plan includes charges of one quarter of one percent to certain procurement and construction contracts (\$2.2 million), as well as additional reimbursements of \$950,000 for audits and investigative work that will be performed at the Miami-Dade Aviation Department (\$300,000), Miami-Dade Water and Sewer Department (\$200,000), Department of Solid Waste Management (\$100,000), Miami-Dade Transit (\$200,000), and Miami-Dade County School Board (\$150,000)
- *The OIG's General Fund subsidy decreased to \$363,000 in FY 2008-09 from \$1.019 million in FY 2007-08 as a result of higher carryover into FY 2008-09 and reductions due to the property tax relief initiatives*
- *The FY 2008-09 Proposed Resource Allocation Plan eliminates two vacant positions (\$218,000)*

Department Operational Unmet Needs

Description	Startup Costs/ Non Recurring Costs	Recurring Costs	Positions
Restore two Special Agent positions to increase investigative oversight coverage of the County's procurement and construction contracts and oversight activities over grants	\$0	\$218	2
Total	\$0	\$218	2