

FY 2011-12 Adopted Budget and Multi-Year Capital Plan

Commission on Ethics and Public Trust

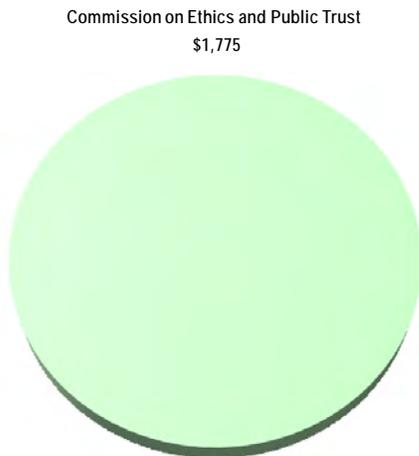
The Commission on Ethics and Public Trust (Ethics Commission) is an independent agency with advisory and quasi-judicial powers. The purpose of the Ethics Commission is to promote and enforce high standards of ethical conduct in government and to build and maintain confidence in public servants.

As part of the General Government strategic area, the Ethics Commission is dedicated to restoring public trust in the administration of government by informing the public and private sector about the Conflict of Interest and Code of Ethics laws and by seeking strict compliance with these laws. The Ethics Commission is authorized to investigate complaints and render advisory opinions related to the following County or municipal ordinances: Code of Ethics and Conflict of Interest, Lobbyist Registration and Reporting, Citizens' Bill of Rights, Ethical Campaign Practices, and Whistleblowing. Community outreach and educational programs are also crucial components of the Ethics Commission's mission. The Ethics Commission hosts a wide array of programs to educate the public on issues concerning ethics, good governance, and accountability through town hall meetings, panel discussions, and training workshops, as well as local and national conferences and forums.

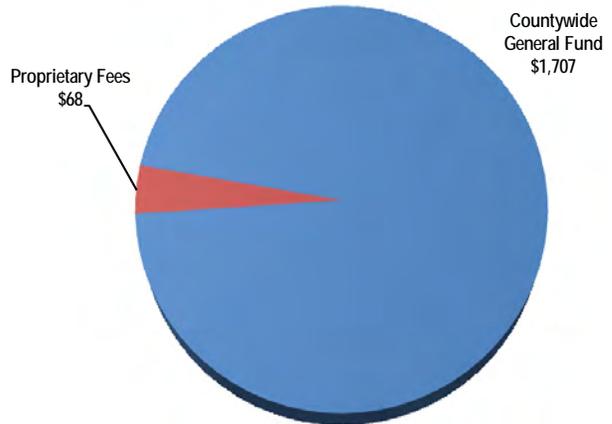
The Ethics Commission, by Board ordinance, has jurisdiction extending to municipalities within Miami-Dade County. Its jurisdiction also extends to certain lobbyists, contractors, and vendors.

FY 2011-12 Adopted Budget

Expenditures by Activity
(dollars in thousands)



Revenues by Source
(dollars in thousands)



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TABLE OF ORGANIZATION

	<u>OFFICE OF THE EXECUTIVE DIRECTOR</u>				
	<ul style="list-style-type: none"> • Provides administrative support to the Ethics Commission; recommends legislative and policy initiatives that promote ethical government and accountability; reviews ethics opinions recommended by the legal unit 				
	<table style="margin-left: auto; margin-right: auto;"> <tr> <td style="text-align: center;"><u>FY 10-11</u></td> <td style="text-align: center;"><u>FY 11-12</u></td> </tr> <tr> <td style="text-align: center;">3</td> <td style="text-align: center;">3</td> </tr> </table>	<u>FY 10-11</u>	<u>FY 11-12</u>	3	3
<u>FY 10-11</u>	<u>FY 11-12</u>				
3	3				
	<u>LEGAL UNIT</u>				
	<ul style="list-style-type: none"> • Responds to requests for advisory opinions and handles legal matters that may impact the operations of the Ethics Commission 				
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<u>FY 10-11</u>	<u>FY 11-12</u>				
2	2				
	<u>ENFORCEMENT UNIT</u>				
	<ul style="list-style-type: none"> • Conducts investigations of official/employee misconduct in County and municipal governments 				
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<u>FY 10-11</u>	<u>FY 11-12</u>				
8	7				
	<u>EDUCATIONAL AND COMMUNITY OUTREACH</u>				
	<ul style="list-style-type: none"> • Provides training for government officials and personnel, candidates for office, and the business community regarding ordinances under the purview of the Ethics Commission 				
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FINANCIAL SUMMARY

(dollars in thousands)	Actual FY 08-09	Actual FY 09-10	Budget FY 10-11	Adopted FY 11-12	(dollars in thousands)	Total Funding Budget FY 10-11	Total Funding Adopted FY 11-12	Total Positions Budget FY 10-11	Total Positions Adopted FY 11-12
Revenue Summary					Expenditure By Program				
Carryover	0	301	0	0	Strategic Area: General Government				
General Fund Countywide	2,047	1,788	2,074	1,707	Commission on Ethics and	2,112	1,775	15	14
Lobbyist Trust Fund	25	25	38	38	Public Trust				
Carryover	71	0	0	0	Total Operating Expenditures	2,112	1,775	15	14
Fees and Charges	0	0	0	30					
Total Revenues	2,143	2,114	2,112	1,775					
Operating Expenditures Summary									
Salary	1,568	1,564	1,531	1,359					
Fringe Benefits	393	390	396	250					
Court Costs	0	0	0	0					
Contractual Services	12	11	11	10					
Other Operating	167	141	163	145					
Charges for County Services	2	3	4	4					
Capital	1	5	7	7					
Total Operating Expenditures	2,143	2,114	2,112	1,775					
Non-Operating Expenditures Summary									
Transfers	0	0	0	0					
Distribution of Funds In Trust	0	0	0	0					
Debt Service	0	0	0	0					
Depreciation, Amortizations and Depletion	0	0	0	0					
Reserve	0	0	0	0					
Total Non-Operating Expenditures	0	0	0	0					

SELECTED ITEM HIGHLIGHTS AND DETAILS

Line Item Highlights	(dollars in thousands)				
	Actual FY 08-09	Actual FY 09-10	Budget FY 10-11	Actual FY 10-11	Budget FY 11-12
Advertising	0	3	4	3	4
Fuel	0	0	0	0	0
Overtime	0	0	0	0	0
Rent	86	86	89	86	89
Security Services	0	0	1	1	1
Temporary Services	0	0	0	0	0
Travel and Registration	3	0	2	-3	2
Utilities	0	0	0	0	0

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DIVISION: COMMISSION ON ETHICS AND PUBLIC TRUST

The Commission on Ethics and Public Trust promotes and enforces high standards of ethical conduct in government and builds and maintains confidence in public servants

- Recommends legislative and policy initiatives that promote ethical government and accountability; liaises with the community through outreach activities, including speeches, media events, reports, and publications
- Tries cases before the Ethics Commission and refers cases for criminal prosecution or other disposition(s) with appropriate agencies
- Responds to requests for advisory opinions by officials, employees, and contractors under the authority of the Ethics Commission
- Conducts investigations of official and/or employee misconduct in County and municipal governments and processes complaints that are filed by the general public to be heard by the Ethics Commission
- Provides training for government officials and personnel, candidates for office, and the business community regarding ordinances under the purview of the Ethics Commission

Strategic Objectives - Measures

- GG1-3: Foster a positive image of County government

Objectives	Measures			FY 08-09	FY 09-10	FY 10-11	FY 10-11	FY 11-12
				Actual	Actual	Budget	Actual	Target
Improve the image of County Government	Number of complaints filed*	IN	↔	57	177	30	34	36
	Number of requests for opinions and inquiries filed	IN	↔	395	332	365	274	249
	Number of investigations handled	OP	↔	155	208	228	157	165
	Ethics trainings and workshops	OP	↔	359	416	400	415	436
	Number of Lobbyist Appeals	IN	↔	N/A	N/A	N/A	38	40

* The FY 2009-10 Actual includes lobbyist appeals; FY 2010-11 eliminates lobbyist appeals from the performance measure

BUDGET ENHANCEMENTS OR REDUCTIONS AND ADDITIONAL COMMENTS

- The Ethics Commission will continue to pursue legislative changes to strengthen County ordinances and rules to promote greater accountability and transparency
- In FY 2011-12, the Ethics Commission will continue to hold workshops for both County and municipal board members and executives of non-profit agencies receiving funding
- Recent legislative changes expanding the jurisdiction of the Ethics Commission to cover part-time and contract employees are expected to further increase the number of investigations and opinions in FY 2011-12
- *The Department's FY 2011-12 Adopted Budget includes the elimination of one Investigator (\$77,000) and reduces miscellaneous operational expenditures and includes savings associated with the Director's office (\$63,000)*

Department Operational Unmet Needs

Description	(dollars in thousands)		Positions
	Startup Costs/ Non Recurring Costs	Recurring Costs	
Hire one Investigator to provide additional investigative resources to meet growing demand	\$0	\$58	1
Total	\$0	\$58	1