

FY 2013 - 14 Proposed Budget and Multi-Year Capital Plan

Commission on Ethics and Public Trust

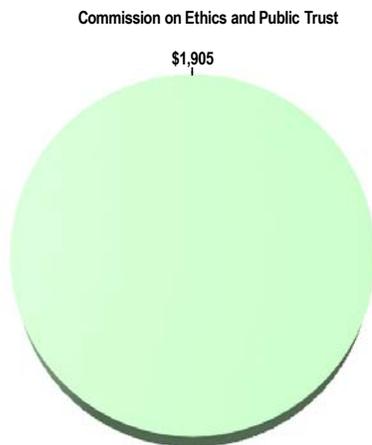
The Commission on Ethics and Public Trust (Ethics Commission) is an independent agency with advisory and quasi-judicial powers. The purpose of the Ethics Commission is to promote and enforce high standards of ethical conduct in government and to build and maintain confidence in public servants.

As part of the General Government strategic area, the Ethics Commission is dedicated to reinforcing public trust in the administration of government by informing the public and private sector about the Conflict of Interest and Code of Ethics laws and by seeking strict compliance with these laws. The Ethics Commission is authorized to investigate complaints and render advisory opinions related to the following County or municipal ordinances: Code of Ethics and Conflict of Interest, Lobbyist Registration and Reporting, Citizens' Bill of Rights, Ethical Campaign Practices, and Whistleblowing. Community outreach and educational programs are also crucial components of the Ethics Commission's mission. The Ethics Commission hosts a wide array of programs to educate the public on issues concerning ethics, good governance, and accountability through town hall meetings, panel discussions, and training workshops, as well as local and national conferences and forums.

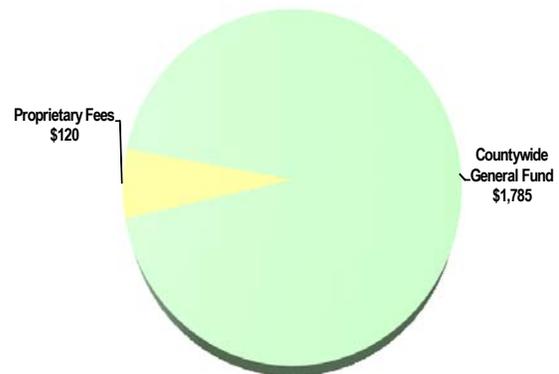
The Ethics Commission, by Board ordinance, has jurisdiction extending to municipalities within Miami-Dade County. Its jurisdiction also extends to certain lobbyists, contractors, and vendors.

FY 2013-14 Proposed Budget

Expenditures by Activity
(dollars in thousands)



Revenues by Source
(dollars in thousands)



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TABLE OF ORGANIZATION

OFFICE OF THE EXECUTIVE DIRECTOR					
<ul style="list-style-type: none"> • Provides administrative support to the Ethics Commission; recommends legislative and policy initiatives that promote ethical government and accountability; reviews ethics opinions recommended by the legal unit; supervises and participates in ethics training programs for public officials, employees, and candidates for elected office • Provides training for government officials and personnel, candidates for office, students, and the business community regarding ordinances under the purview of the Ethics Commission and ethical practices in government • Responds to requests for advisory opinions and handles legal matters that may impact the operations of the Ethics Commission • Conducts investigations of official/employee misconduct in County and municipal governments 	<table style="width: 100%; border: none;"> <tr> <td style="text-align: center;"><u>FY 12-13</u></td> <td style="text-align: center;"><u>FY 13-14</u></td> </tr> <tr> <td style="text-align: center;">13</td> <td style="text-align: center;">13</td> </tr> </table>	<u>FY 12-13</u>	<u>FY 13-14</u>	13	13
<u>FY 12-13</u>	<u>FY 13-14</u>				
13	13				

FINANCIAL SUMMARY

(dollars in thousands)	Actual FY 10-11	Actual FY 11-12	Budget FY 12-13	Proposed FY 13-14
Revenue Summary				
General Fund Countywide	2,029	1,813	1,747	1,785
Lobbyist Trust Fund	10	0	38	60
Carryover	0	17	10	20
Fees and Charges	0	71	20	40
Total Revenues	2,039	1,901	1,815	1,905

Operating Expenditures

Summary				
(dollars in thousands)	Actual FY 10-11	Actual FY 11-12	Budget FY 12-13	Proposed FY 13-14
Salary	1,529	1,444	1,371	1,375
Fringe Benefits	376	278	264	326
Court Costs	0	0	0	0
Contractual Services	10	13	10	10
Other Operating	91	143	156	170
Charges for County Services	29	9	10	20
Capital	4	4	4	4
Total Operating Expenditures	2,039	1,891	1,815	1,905

Non-Operating Expenditures

Summary				
(dollars in thousands)	Actual FY 10-11	Actual FY 11-12	Budget FY 12-13	Proposed FY 13-14
Transfers	0	0	0	0
Distribution of Funds In Trust	0	0	0	0
Debt Service	0	0	0	0
Depreciation, Amortizations and Depletion	0	0	0	0
Reserve	0	0	0	0
Total Non-Operating Expenditures	0	0	0	0

(dollars in thousands)	Total Funding		Total Positions	
Expenditure By Program	Budget FY 12-13	Proposed FY 13-14	Budget FY 12-13	Proposed FY 13-14
Strategic Area: General Government				
Commission on Ethics and Public Trust	1,815	1,905	13	13
Total Operating Expenditures	1,815	1,905	13	13

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SELECTED ITEM HIGHLIGHTS AND DETAILS

Line Item Highlights	(dollars in thousands)				
	Actual FY 10-11	Actual FY 11-12	Budget FY 12-13	Projection FY 12-13	Proposed FY 13-14
Advertising	3	0	4	4	0
Fuel	0	1	2	1	2
Overtime	0	0	0	0	0
Rent	86	89	91	89	95
Security Services	1	1	1	1	1
Temporary Services	0	0	0	0	0
Travel and Registration	-3	1	3	3	5
Utilities	5	4	3	3	5

DIVISION: COMMISSION ON ETHICS AND PUBLIC TRUST

The Commission on Ethics and Public Trust promotes and enforces high standards of ethical conduct in government and builds and maintains confidence in public servants

- Recommends legislative and policy initiatives that promote ethical government and accountability; liaises with the community through outreach activities, including speeches, media events, reports, and publications
- Tries cases before the Ethics Commission and refers cases for criminal prosecution or other disposition(s) with appropriate agencies
- Responds to requests for advisory opinions by officials, employees, and contractors under the authority of the Ethics Commission
- Conducts investigations of official and/or employee misconduct in County and municipal governments and processes complaints that are filed by the general public to be heard by the Ethics Commission
- Provides training for government officials and personnel, candidates for office, students, and the business community regarding ordinances under the purview of the Ethics Commission and ethical practices in government

Strategic Objectives - Measures

- GG1-3: Foster a positive image of County government

Objectives	Measures			FY 10-11	FY 11-12	FY 12-13	FY 12-13	FY 13-14
				Actual	Actual	Budget	Projection	Target
Improve the image of County Government	Number of complaints filed	IN	↔	34	46	50	40	45
	Number of requests for opinions and inquiries filed	IN	↔	274	256	350	225	250
	Number of investigations handled*	OP	↔	157	187	245	150	150
	Ethics trainings and workshops	OP	↔	415	356	485	400	400
	Number of Lobbyist Appeals	IN	↔	38	70	35	50	50

* The number of investigations handled is directly related to number of investigators on staff.

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ADDITIONAL INFORMATION

- In FY 2011-12, the Miami-Dade County Board of County Commissioners adopted an ordinance requiring that all County employees complete an ethics course provided by the Commission on Ethics
- In FY 2011-12, the Miami-Dade County Board of County Commissioners adopted Ordinance 12-10 which requires all County lobbyists to receive ethics training every two years
- During FY 2012-13, the Ethics Commission conducted Ethical Governance Day, which involved placing volunteer speakers in over 200 high school classrooms in the County to address students on citizenship ethics
- During FY 2012-13, the Ethics Commission entered into an Interlocal Agreement with the City of Miami Beach to conduct an innovative, intensive ethics "boot camp" consisting of over 12 hours of training for approximately 225 municipal regulatory employees
- During FY 2012-13, the Ethics Commission planned and co-sponsored, with the City of Miami Police Department, a Public Corruption Investigation Conference attended by over 150 law enforcement and compliance officials
- During FY 2012-13, the Ethics Commission began working with County departments to revitalize the ethics officers' concept to provide greater in-house ethics presence and oversight
- The FY 2013-14 Proposed Budget includes a transfer of \$60,000, as required under Ordinance 10-56, from the Office of the Clerk Lobbyist Trust Fund to support ethics training and conference expenditures including but not limited to educational materials, food and non-alcoholic beverages, and personnel expenditures
- In FY 2013-14, the Ethics Commission will continue to hold workshops for both County and municipal board members and executives of non-profit agencies receiving funding, as well as, students and candidates for elected office
- The Ethics Commission will continue to pursue legislative changes to strengthen County ordinances and rules to promote greater accountability and transparency

Department Operational Unmet Needs

Description	(dollars in thousands)		Positions
	Startup Costs/ Non Recurring Costs	Recurring Costs	
Reinstate one outreach position	\$0	\$72	1
Total	\$0	\$72	1