

# FY 2016 - 17 Adopted Budget and Multi-Year Capital Plan

## Inspector General

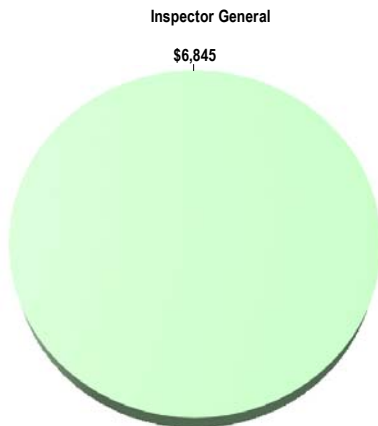
The Office of the Inspector General (OIG) serves the residents of Miami-Dade County by identifying fraud, mismanagement, waste, and abuse of power in County projects, programs, and contracts. The Office was created by the Board of County Commissioners (BCC) in response to the public's demand for more accountable government. The BCC determined that oversight of such a large and diverse government required the OIG to be independent and autonomous, so that it could carry out its goals without political interference.

As part of the General Government strategic area, the OIG routinely reviews and evaluates proposals, contracts, and programs for a range of management criteria. The OIG also investigates a variety of cases, including contractors doing business with and/or receiving funds from the County and cases of employee and official misconduct.

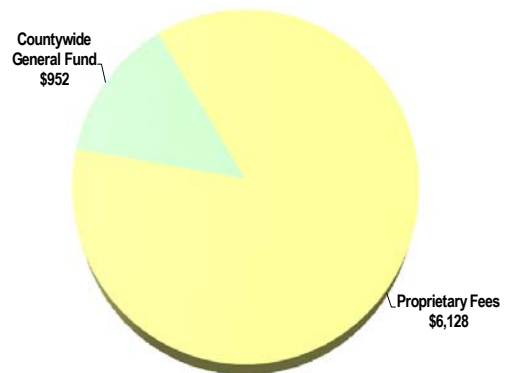
The OIG's jurisdiction encompasses all County departments, agencies, instrumentalities, and the programs thereunder. This jurisdiction extends to all County employees, public officials, elected officials, and vendors and contractors doing business with the County. The OIG has been designated a criminal justice agency by the Federal Bureau of Investigation and is accredited by the Commission for Florida Law Enforcement Accreditation based upon statewide standards for Offices of Inspectors General.

## FY 2016-17 Adopted Budget

### Expenditures by Activity (dollars in thousands)



### Revenues by Source (dollars in thousands)



## FY 2016 - 17 Adopted Budget and Multi-Year Capital Plan

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### TABLE OF ORGANIZATION

#### INSPECTOR GENERAL

- Provides oversight to Miami-Dade County operations by investigating, auditing, and reviewing County programs, projects, and contracts to detect and prevent fraud, mismanagement, waste, and abuse; provides all professional support to these functions including publicly reporting findings; initiates civil, administrative, and criminal legal processes or makes referrals where necessary; communicates the Office's accomplishments through report distribution, website communications, and public awareness initiatives

FY 15-16  
38

FY 16-17  
38

The FY 2016-17 total number of full-time equivalent positions is 38

## FY 2016 - 17 Adopted Budget and Multi-Year Capital Plan

### FINANCIAL SUMMARY

(dollars in thousands)	Actual FY 13-14	Actual FY 14-15	Budget FY 15-16	Adopted FY 16-17
<b>Revenue Summary</b>				
General Fund Countywide	1,969	1,807	1,510	952
Carryover	437	2,278	938	2,053
Departmental Oversight (MOUs)	759	790	860	1,100
Fees and Charges	2,848	2,880	2,725	2,975
Interest Earnings	2	4	0	0
Miscellaneous Revenues	13	55	0	0
<b>Total Revenues</b>	<b>6,028</b>	<b>7,814</b>	<b>6,033</b>	<b>7,080</b>

### **Operating Expenditures**

<b>Summary</b>				
Salary	3,274	3,606	4,367	4,566
Fringe Benefits	733	927	1,122	1,227
Court Costs	0	0	2	2
Contractual Services	2	1	6	6
Other Operating	348	370	482	326
Charges for County Services	17	33	36	700
Capital	8	0	18	18
<b>Total Operating Expenditures</b>	<b>4,382</b>	<b>4,937</b>	<b>6,033</b>	<b>6,845</b>

### **Non-Operating Expenditures**

<b>Summary</b>				
Transfers	0	0	0	0
Distribution of Funds In Trust	0	0	0	0
Debt Service	0	0	0	0
Depreciation, Amortizations and Depletion	0	0	0	0
Reserve	0	0	0	235
<b>Total Non-Operating Expenditures</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>235</b>

(dollars in thousands) Expenditure By Program	Total Funding		Total Positions	
	Budget FY 15-16	Adopted FY 16-17	Budget FY 15-16	Adopted FY 16-17
<b>Strategic Area: General Government</b>				
Inspector General	6,033	6,845	38	38
<b>Total Operating Expenditures</b>	<b>6,033</b>	<b>6,845</b>	<b>38</b>	<b>38</b>

### SELECTED ITEM HIGHLIGHTS AND DETAILS

Line Item Highlights	(dollars in thousands)				
	Actual FY 13-14	Actual FY 14-15	Budget FY 15-16	Actual FY 15-16	Budget FY 16-17
Advertising	0	0	0	2	0
Fuel	4	2	5	0	0
Overtime	0	0	0	0	0
Rent	212	212	265	218	90
Security Services	2	2	2	3	3
Temporary Services	0	0	0	0	0
Travel and Registration	10	14	20	33	30
Utilities	9	9	15	10	13

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### **DIVISION: INSPECTOR GENERAL**

The OIG's principal objective is to promote honesty and efficiency in government and to prevent and detect misconduct, fraud and abuse in County programs and contracts. The OIG strives to ensure that taxpayers get a fair and honest accounting of their money and, where possible, seeks appropriate remedies to recover public funds.

- Investigates, audits and inspects programs, projects, and contracts to detect and prevent fraud, mismanagement, waste and abuse
- Publicly reports findings and initiates or makes civil, administrative, and criminal referrals where necessary
- Communicates the Office's accomplishments through report distribution, website communication, and public awareness initiatives

### **Strategic Objectives - Measures**

- GG1-3: Foster a positive image of County government

Objectives	Measures			FY 13-14	FY 14-15	FY 15-16	FY 15-16	FY 16-17
				Actual	Actual	Budget	Actual	Target
Continue to provide the public with access to register their concerns via the OIG website and "Fraud Hotline"	Written complaints received	OC	↓	95	132	111	96	120
	Complaints received via the OIG's website	OC	↓	159	145	185	155	170
	Complaints received via the OIG's hotline	OC	↓	90	96	96	101	105
Increase the public's awareness of the OIG's findings by providing easy access to reports and information distributed by the OIG via the Internet	Contracts/programs audited and reviewed	OP	↑	31	32	20	25	22
	Reports issued	OP	↔	15	8	15	12	15
	Advisory memoranda issued	OP	↔	10	18	15	12	16

### **ADDITIONAL INFORMATION**

- The FY 2016-17 Adopted Budget and Multi-Year Capital Plan includes charges of one quarter of one percent to certain procurement and construction contracts (\$2.975 million), as well as additional reimbursements of \$1.1 million for audits and investigative work performed for Aviation (\$500,000), Water and Sewer (\$200,000), Solid Waste Management (\$50,000), Transit (\$150,000) and the Miami-Dade County School Board (\$200,000)
- In FY 2016-17, the OIG will continue its oversight of two major capital improvements programs: the Public Health Trust's Miracle-Building Bond Program and the Water and Sewer Department's massive infrastructure program, which includes Federal Consent Decree priority projects, compliance with State of Florida Ocean Outfall legislation, and various Pump Station Improvement projects
- In FY 2016-17, the OIG will continue its Countywide outreach effort, combining both live training sessions and video tutorials, to educate employees on the various legal protections afforded to them, including confidentiality and protection from retaliation, when they report incidents of fraud, waste and abuse to the OIG
- In FY 2016-17, the OIG will complete its review of the Animal Services Department's operational procedures for the sheltering of animals; this review was initiated based on several complaints received by the OIG
- The OIG will continue to monitor and comment, as necessary, on proposed grant awards from the County's Economic Development Fund (General Obligation Bond proceeds, Projects 124 and 320); in FY 2015-16 the OIG provided recommendations to the Board of County Commissioners (BCC) on two proposed grant awards aimed at tightening accountability measures for grant compliance; the recommendations were adopted by the BCC and will be applied to prospective agreements subject to BCC approval
- In FY 2015-16, the OIG published Part 1 of the OIG's audit of airport permittees (businesses that conduct commercial activities on airport premises and pay the County a fee for the privilege); the report contained ten recommendations and management has responded positively to all of them; Part 2 of the audit is currently underway and we expect to conclude this audit by the end of FY 2016-17
- In FY 2015-16, OIG investigations resulted in the arrest and prosecution of several former employees for crimes involving theft of public funds and abuse of their positions for financial gain; working with the Miami-Dade State Attorney's Office, these cases were successfully resolved to include, as part of any sentence, the repayment of restitution to the County

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- During FY 2015-16, the OIG underwent its third tri-annual accreditation review and was successfully re-accredited by the Florida Commission on Law Enforcement Accreditation—the body that establishes inspector general standards for the State of Florida—in June 2016; in addition, in FY 2015-16, the OIG underwent a peer review by the Association of Inspectors General, a national inspector general peer network that reviews office operations in accordance with the Principles and Standards for Offices of Inspector General; the OIG was found to be operating in compliance with those standards