

MEMORANDUM

BPS

Agenda Item No. **1(E)5**

TO: Honorable Chairman Dennis C. Moss
and Members, Board of County Commissioners

DATE: October 12, 2010

FROM: R. A. Cuevas, Jr.
County Attorney

SUBJECT: Ordinance amending Section
2-11.1(T) of Conflict of
Interest and Code of Ethics
Ordinance relating to Cone
of Silence; amending
definitions, exceptions and
applicability of Cone of
Silence

The accompanying ordinance was prepared and placed on the agenda at the request of Prime Sponsor Commissioner Carlos A. Gimenez.



R. A. Cuevas, Jr.
County Attorney

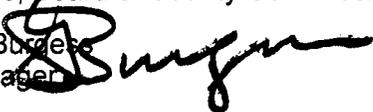
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Memorandum



Date:

To: Honorable Chairman Dennis C. Moss
and Members, Board of County Commissioners

From: George M. Burge
County Manager 

Subject: Ordinance amending Sections 2-11.1 (T) of Conflict of Interest and Code of Ethics

The ordinance amending definitions, exceptions and applicability of cone of silence in the Conflict of Interest and Code of Ethics Ordinance will not have a fiscal impact to Miami-Dade County.


Alina Hudak,
Assistant County Manager

fis7610



MEMORANDUM

(Revised)

TO: Honorable Chairman Dennis C. Moss
and Members, Board of County Commissioners

DATE: July 20, 2010

FROM: 
R. A. Cuevas, Jr.
County Attorney

SUBJECT: Agenda Item No. 4 (A)

Please note any items checked.

- "3-Day Rule" for committees applicable if raised
- 6 weeks required between first reading and public hearing
- 4 weeks notification to municipal officials required prior to public hearing
- Decreases revenues or increases expenditures without balancing budget
- Budget required
- Statement of fiscal impact required
- Ordinance creating a new board requires detailed County Manager's report for public hearing
- No committee review
- Applicable legislation requires more than a majority vote (i.e., 2/3's ____, 3/5's ____, unanimous ____) to approve
- Current information regarding funding source, index code and available balance, and available capacity (if debt is contemplated) required

Approved _____ Mayor
Veto _____
Override _____

Agenda Item No. 4(A)
7-20-10

ORDINANCE NO. _____

ORDINANCE AMENDING SECTION 2-11.1(T) OF CONFLICT OF INTEREST AND CODE OF ETHICS ORDINANCE RELATING TO CONE OF SILENCE; AMENDING DEFINITIONS, EXCEPTIONS AND APPLICABILITY OF CONE OF SILENCE; PROVIDING FOR SEVERABILITY, INCLUSION IN THE CODE AND AN EFFECTIVE DATE

BE IT ORDAINED BY THE BOARD OF COUNTY COMMISSIONERS OF MIAMI-DADE COUNTY, FLORIDA:

Section 1. Section 2-11.1 of the Code of Miami-Dade County, Florida, is hereby amended to read as follows:¹

Sec. 2-11.1. Conflict of Interest and Code of Ethics Ordinance.

* * *

(t) *Cone of Silence.*

1. >>A "Cone of Silence" shall exist for<< [[~~C~~]]>>c<<ontracts for the provision of goods and service>>s as set forth herein:<< ~~[[other than audit and independent private sector inspector general (IPSIG) contracts.]]~~

(a) >>Prohibited Communications.<<[[~~"Cone of Silence" is hereby defined to mean a prohibition on~~]] >>Any communication regarding a particular RFP, RFQ or bid between an interested person or entity and any member of a selection committee is hereby prohibited (hereinafter "Cone of Silence"). As used herein, an "interested

¹ Words stricken through and/or [[double bracketed]] shall be deleted. Words underscored and/or >>double arrowed<< constitute the amendment proposed. Remaining provisions are now in effect and remain unchanged.

person or entity” shall mean a potential vendor, service provider, bidder, lobbyist, consultant, County Commissioner and his or her staff, the Mayor, County Manager and any member of the County's professional staff. <<[[[:

~~(i) Any communication regarding a particular RFP, RFQ or bid between a potential vendor, service provider, bidder, lobbyist, or consultant and the County's professional staff including, but not limited to, the County Manager and his or her staff;~~

~~(ii) Any communication regarding a particular RFP, RFQ or bid between the Mayor, County Commissioners or their respective staffs and any member of the County's professional staff including, but not limited to, the County Manager and his or her staff];~~

~~(iii) Any communication regarding a particular RFP, RFQ or bid between a potential vendor, service provider, bidder, lobbyist, or consultant and any member of the selection committee therefor;~~

~~(iv) Any communication regarding a particular RFP, RFQ or bid between the Mayor, County Commissioners or their respective staffs and any member of the selection committee therefor; and~~

~~(v) Any communication regarding a particular RFP, RFQ or bid between a potential vendor, service provider, bidder, lobbyist, or consultant and the Mayor, County Commissioners and their respective staffs; and~~

- ~~(vi) Any communication regarding a particular RFP, RFQ, or bid between any member of the County's professional staff and any member of the selection committee therefor.~~

~~The County Manager and the Chairperson of the selection committee may communicate about a particular selection recommendation, but only after the committee has submitted an award recommendation to the manager and provided that should any change occur in the committee recommendation, the content of the communication and of the corresponding change as well as the reasons for such change shall be described in writing and filed by the Manager with the Clerk of the Board and be included in any recommendation submitted by the Manager to the Board of County Commissioners.]] Notwithstanding the foregoing, the Cone of Silence shall not apply to: (i) >>Communications between the Mayor and/or County Manager and the Chairperson of the selection committee about a recommendation provided that such communications occur after the committee has submitted an award recommendation to the Mayor and/or County Manager<< [[Competitive processes for the award of CDBG, HOME, SHIP and Surtax Funds administered by the Miami Dade County Office of Community and Economic Development and the community-based organization (CBO) competitive grant processes administered by the Park and Recreation, Library, Water and Sewer, and Solid Waste~~

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~~Departments, Cultural Affairs and Tourist Development Councils and the Department of Environmental Resources Management;]];~~ (ii) Communications with the County Attorney and his or her staff; (iii) ~~[[Communications between a potential vendor, service provider, bidder, consultant or lobbyist and employees of the Management and Technical Assistance Unit of the Department of Business Development regarding small business and/or minority business programs, the Community Business Enterprise and Equitable Distribution Programs; (iv) communications between a potential vendor, service provider, bidder, consultant or lobbyist and employees responsible for administering disadvantaged business enterprise programs in County departments receiving federal funds, provided the communications are limited strictly to matters of programmatic process or procedure; (v)]]~~ Duly noticed site visits ~~[[to determine the competency of bidders regarding a particular bid during the time period between the opening of bids and the time the County Manager makes his or her written recommendation]];~~ ~~[[~~(vi)~~]]>>(iv)<<~~ Any emergency procurement of goods or services pursuant to Administrative Order 3-~~[[2]]>>38<<;~~ ~~[[~~(vii)~~ Communications regarding a particular RFP, RFQ or bid between any person and the Vendor Information Center staff, the procurement agent or contracting officer responsible for administering the procurement process for such RFP, RFQ or bid, provided the communication is limited strictly to~~

~~matters of process or procedure already contained in the corresponding solicitation document; and (viii) Communications between a potential vendor, service provider or bidder and employees of the Department of Procurement Management or other department identified in the solicitation document as the issuing department; and (ix) Consultations by employees of the Department of Procurement Management with professional procurement colleagues in determining an appropriate approach or option involving a solicitation in progress.]]>>(v) Communications during duly noticed meetings of selection committees; (vi) Contract negotiations during any duly noticed public meeting; and (vii) Communications in writing at any time unless specifically prohibited by the applicable RFP, RFQ or bid documents. The bidder or proposer shall file a copy of any written communication with the Clerk of the Board, the contracting officer responsible for administering the procurement process for such RFP, RFQ or bid and each potential vendor, service provider or bidder. The Clerk of the Board and the contracting officer shall make copies available to any person upon request.<<~~

- (b) Procedure.
- (i) A Cone of Silence shall be imposed upon each RFP, RFQ and bid after the advertisement of said RFP, RFQ or bid. At the time of imposition of the Cone of Silence, the County

>>Mayor<< [[Manager]] or his or her designee shall provide for public notice of the Cone of Silence. The County >>Mayor<< [[Manager]] shall issue a written notice thereof to the affected departments, file a copy of such notice with the Clerk of the Board, with a copy thereof to each Commissioner, and shall include in any public solicitation for goods and services a statement disclosing the requirements of this ordinance.

- (ii) The Cone of Silence shall terminate at the time the >>Mayor or Mayor's designee<< [[Manager]] makes his or her written recommendation to the County Commission; provided, however, that if the Commission refers the >>Mayor's<< [[Manager's]] recommendation back to the >>Mayor<< [[Manager]] or staff for further review, the Cone of Silence shall be reimposed until such time as the >>Mayor or Mayor's designee<< [[Manager]] makes a subsequent written recommendation. The foregoing notwithstanding, for contracts and purchases which the County >>Mayor or<< Manager has the delegated authority to award under Sec. 2-8.1(b) of this Code, the Cone of Silence shall terminate: (i) at the time the award recommendation letter is issued and filed with the Clerk of the Board for such contracts and purchases ~~[[involving the expenditure of over one hundred thousand dollars (\$100,000)]]~~; (ii) at the time the written award recommendation is posted in accordance with Section III of ~~[[A.O.]]~~ >>Implementing Order<< 3-21 for such contracts or purchases ~~[[involving the expenditure of over~~

~~[\$25,000 up to \$100,000]]~~; or (iii) at the time the award recommendation is issued in accordance with Section IV of ~~[[A.O.]]~~ >>Implementing Order<< 3-21 for >such< contracts and purchases ~~[[involving the expenditure of \$25,000 or less]]~~.

- (iii) While the Cone of Silence is in effect, >>the Mayor,<< County >>Manager and the County's professional<< [[S]]>>s<<taff shall create a written record of any oral communications with >>a<< potential vendor, service provider, bidder, lobbyist, or consultant related to or regarding a solicitation, bid, proposal, or other competitive process. The record shall indicate the date of such communication, the persons to whom staff communicated, and a general summation of the communication. This subsection applies to all communications made while the Cone of Silence is in effect for a particular solicitation >>, which do not involve matters of process or procedure contained in the corresponding solicitation document<<.

~~[[e) Exceptions.~~

- ~~(i) The provisions of this ordinance shall not apply to oral communications at pre-bid conferences, oral presentations before selection committees, contract negotiations during any duly noticed public meeting, public presentations made to the Board of County Commissioners during any duly noticed public meeting or communications in writing at any~~

~~time with any county employee, official or member of the Board of County Commissioners unless specifically prohibited by the applicable RFP, RFQ or bid documents. The bidder or proposer shall file a copy of any written communication with the Clerk of the Board. The Clerk of the Board shall make copies available to any person upon request.~~

- ~~(ii) The provisions of this ordinance shall also not apply to oral communications at briefings held by county commissioners and the County Mayor or his designee, after the selection committee or other evaluating group makes its recommendation to the County Manager, provided that the briefings are not intended to influence the outcome of the selection committee or other evaluating group's recommendation to the County Manager; provided, however, that this exception shall not apply to outside groups such as lobbyists or representatives of the responding or bidding companies or entities.~~

2. ~~Audit and IPSIG contracts.~~

- ~~(a) "Cone of Silence" is hereby defined to mean a prohibition on: (a) any communication regarding a particular RFP, RFQ or bid between a potential vendor, service provider, bidder, lobbyist, or consultant and the Mayor, County Commissioners or their respective staffs and any member of the County's professional staff including, but not limited to, the County Manager and his or her staff; (b) any oral communication regarding a particular RFP, RFQ or bid between the Mayor, County Commissioners~~

~~or their respective staffs and any member of the County's professional staff including, but not limited to, the County Manager and his or her staff. Notwithstanding the foregoing, the Cone of Silence shall not apply to (a) communications with the County Attorney and his or her staff; (b) communications between a potential vendor, service provider or bidder and employees of the Department of Procurement Management or other department identified in the solicitation document as the issuing department; and (c) consultations by employees of the Department of Procurement Management with professional procurement colleagues in determining an appropriate approach or option involving a solicitation in progress.~~

- (b) ~~Except as provided in Subsections 2(c) and 2(d) hereof, a Cone of Silence shall be imposed upon each RFP, RFQ and bid for audit and IPSIG services after the advertisement of said RFP, RFQ or bid. At the time of the imposition of the Cone of Silence, the County Manager or his or her designee shall provide for the public notice of the Cone of Silence. The Cone of Silence shall terminate when the County Manager executes a particular audit or IPSIG contract.~~
- (e) ~~Nothing contained herein shall prohibit any bidder or proposer: (i) from making public presentations at duly noticed pre bid conferences or before duly noticed selection committee meetings; (ii) from engaging in contract negotiations during any duly noticed public meeting; or (iii) from communicating in writing with any County employee or official for purposes of seeking clarification or additional information from the County or responding to the County's request for clarification or additional information, subject to the provisions of the applicable RFP, RFQ or bid documents. The~~

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~~bidder or proposer shall file a copy of any written communication with the Clerk of the Board. The Clerk of the Board shall make copies available to the general public upon request.~~

- (d) ~~Nothing contained herein shall prohibit any lobbyist, bidder, proposer or other person or entity from publicly addressing the Board of County Commissioners during any duly noticed public meeting regarding action on any audit or IPSIG contract. The County Manager shall include in any public solicitation for auditing or IPSIG services a statement disclosing the requirements of this ordinance.]]~~

>>2.<<[[3.]] Penalties. In addition to the penalties provided in Subsections (s) and [[(v)]]>>cc<< hereof, violation of this Subsection (t) by a particular bidder or proposer shall render any RFP award, RFQ award or bid award to said bidder or proposer voidable. Any person who violates a provision of this ordinance shall be prohibited from serving on a Miami-Dade County competitive selection committee. In addition to any other penalty provided by law, violation of any provision of this ordinance by a Miami-Dade County employee shall subject said employee to disciplinary action up to and including dismissal. Additionally, any person who has personal knowledge of a violation of this ordinance shall report such violation to the State Attorney and/or may file a complaint with the Ethics Commission.

>>3.<<[[4.]] The requirements of Section 2-11.1(t) shall not apply to any municipality in Miami-Dade County that has adopted an ordinance providing that the [[e]]>>C<<one of [[s]]>>S<<ilence shall not apply to that municipality. Any municipality that opts out of the requirements of Section 2-11.1(t) shall provide the Ethics Commission with a copy of the ordinance.

>>4.<<[[5.]] Within thirty days of a recommendation

from a selection committee, the County Mayor or his designee shall either appoint a negotiation committee or take other affirmative action with respect to the solicitation, including but not limited to rejection of proposals or recommendation for award. In the event that negotiations have not commenced within thirty days, or if such other affirmative action has not been taken within thirty days, the County Mayor or his >>or her<< designee shall report such event, and the reasons therefore, to the Board of County Commissioners. Additionally, the County Mayor or his >>or her<< designee shall present the Clerk of the Board with a recommendation for award, or a recommendation to reject proposals, within ninety days from the date a selection committee makes a recommendation. In the event that the County Mayor or his >>or her<< designee has not provided such recommendation to the Clerk of the Board within ninety days, the County Mayor or his >>or her<< designee shall provide a report on the status of the solicitation to the Board of County Commissioners, including the reasons for any delay.

Section 2. If any section, subsection, sentence, clause or provision of this ordinance is held invalid, the remainder of this ordinance shall not be affected by such invalidity.

Section 3. It is the intention of the Board of County Commissioners, and it is hereby ordained that the provisions of this ordinance, including any sunset provision, shall become and be made a part of the Code of Miami-Dade County, Florida. The sections of this ordinance may be renumbered or relettered to accomplish such intention, and the word "ordinance" may be changed to "section," "article," or other appropriate word.

Section 4. This ordinance shall become effective ten (10) days after the date of enactment unless vetoed by the Mayor, and if vetoed, shall become effective only upon an override by this Board.

PASSED AND ADOPTED:

Approved by County Attorney as
to form and legal sufficiency:

JAC

Prepared by:

GKS

Gerald K. Sanchez

Prime Sponsor: Commissioner Carlos A. Gimenez