Attachment 9

Performance Measurement Outcome System

US HUD ELIGIBILITY MATRIX CODE (Attachment 9)

CODE	ACTIVITY DESCRIPTION	CITATION	NATIONAL OBJECTIVES	ACCOMPLISHMENT TYPE	OBJECTIVE	OUTCOME	NOTES
1	Acquisition of Real Property	570.201(a)	LMA, 570.208(a)(1) LMC, 570.208(a)(2)	People Businesses Public Facilities	Sustainable Living Environment	Sustainability	
			LMH, 570.208(a)(3)	Housing Units			
			LMJ, 570.208(a)(4)	Jobs			
2	Disposition of Real Property	570.201(b)	LMA, 570.208(a)(1) LMC, 570.208(a)(2)	People Businesses Public Facilities	Sustainable Living Environment	Sustainability	When the property will be used for the purpose for which it was acquired, use the same Accomplishment Code that was or should have been used for acquisition of the property. When a property is disposed of for a use other than for which it was acquired, use the Acco plishment Code that corresponds to the new use.
			LMH, 570.208(a)(3)	Housing Units	Decent Housing	Affordability	
			LMJ, 570.208(a)(4)	Jobs			
3	Public Facilities & Improvements (Gen)	570.201(c)	LMA, 570.208(a)(1) LMC, 570.208(a)(2)	People Public Facilities	Sustainable Living Environment	Sustainability	
			LMH, 570.208(a)(3)	Housing Units			
			LMJ, 570.208(a)(4)	Jobs			
03A	Senior Centers	570.201(c)	LMC, 570.208(a)(2)	Public Facilities	Sustainable Living Environment	Availability/Accessibility	
			LMJ, 570.208(a)(4)	Jobs			
03B	Handicapped Centers	570.201(c)	LMC, 570.208(a)(2)	Public Facilities	Sustainable Living Environment	Availability/Accessibility	
			LMJ, 570.208(a)(4)	Jobs			
03C	Homeless Facilities (not operating costs)	570.201(c)	LMC, 570.208(a)(2)	Public Facilities	Sustainable Living Environment	Availability/Accessibility	
			LMJ, 570.208(a)(4)	Jobs			
03D	Youth Centers	570.201(c)	LMC, 570.208(a)(2)	Public Facilities	Sustainable Living Environment	Availability/Accessibility	
			LMJ, 570.208(a)(4)	Jobs			
03E	Neighborhood Facilities	570.201(c)	LMA, 570.208(a)(1) LMC, 570.208(a)(2)	Public Facilities	Sustainable Living Environment	Availability/Accessibility	
			LMJ, 570.208(a)(4)	Jobs			

03F	Parks, Recreational Facilities	570.201(c)	LMA, 570.208(a)(1) LMC, 570.208(a)(2)	Public Facilities	Sustainable Living Environment	Availability/Accessibility	
			LMJ, 570.208(a)(4)	Jobs			
03G	Parking Facilities	570.201(c)	LMA, 570.208(a)(1) LMC, 570.208(a)(2)	Public Facilities	Sustainable Living Environment	Availability/Accessibility	
			LMJ, 570.208(a)(4)	Jobs			
03H	Solid Waste Disposal Improvements	570.201(c)	LMA, 570.208(a)(1) LMC, 570.208(a)(2)	Public Facilities	Sustainable Living Environment	Availability/Accessibility	
			LMH, 570.208(a)(3)	Housing Units			
			LMJ, 570.208(a)(4)	Jobs			
03I	Flood Drainage Improvements	570.201(c)	LMA, 570.208(a)(1) LMC, 570.208(a)(2)	Public Facilities	Sustainable Living Environment	Availability/Accessibility	
			LMH, 570.208(a)(3)	Housing Units			
			LMJ, 570.208(a)(4)	Jobs			
03J	Water/Sewer Improvements	570.201(c)	LMA, 570.208(a)(1) LMC, 570.208(a)(2)	People Public Facilities	Sustainable Living Environment	Availability/Accessibility	
			LMH, 570.208(a)(3)	Housing Units			
			LMJ, 570.208(a)(4)	Jobs			
03K	Street Improvements	570.201(c)	LMA, 570.208(a)(1) LMC, 570.208(a)(2)	People Public Facilities	Sustainable Living Environment	Availability/Accessibility	
			LMH, 570.208(a)(3)	Housing Units			
			LMJ, 570.208(a)(4)	Jobs			
03L	Sidewalks	570.201(c)	LMA, 570.208(a)(1) LMC, 570.208(a)(2)	People Public Facilities	Sustainable Living Environment	Availability/Accessibility	When the sole purpose of an activity is to create curb cuts, report the number of curb cuts using the Public Facilities code. When creating curb cuts is carried out in conjunction with other sidewalk improvements, report the number of people.
			LMH, 570.208(a)(3)	Housing Units			
			LMJ, 570.208(a)(4)	Jobs			
03M	Child Care Centers	570.201(c)	LMC, 570.208(a)(2)	Public Facilities	Sustainable Living Environment	Availability/Accessibility	
			LMJ, 570.208(a)(4)	Jobs			
03N	Tree Planting	570.201(c)	LMA, 570.208(a)(1) LMC, 570.208(a)(2)	Public Facilities	Sustainable Living Environment	Sustainability	

03O	Fire Station/Equipment	570.201(c)	LMA, 570.208(a)(1)	Public Facilities	Sustainable Living Environment	Availability/Accessibility	
			LMJ, 570.208(a)(4)	Jobs			
03P	Health Facilities	570.201(c)	LMA, 570.208(a)(1) LMC, 570.208(a)(2)	Public Facilities	Sustainable Living Environment	Sustainability	
			LMJ, 570.208(a)(4)	Jobs			
03Q	Abused and Neglected Children Facilities	570.201(c)	LMC, 570.208(a)(2)	Public Facilities	Sustainable Living Environment	Availability/Accessibility	
			LMJ, 570.208(a)(4)	Jobs			
03R	Asbestos Removal	570.201(c)	LMA, 570.208(a)(1) LMC, 570.208(a)(2)	Public Facilities	Sustainable Living Environment	Sustainability	
			LMJ, 570.208(a)(4)	Jobs			
03S	Facilities for AIDS Patients (no operating costs)	570.201(c)	LMC, 570.208(a)(2)	Public Facilities	Decent Housing	Availability/Accessibility	
			LMJ, 570.208(a)(4)	Jobs			
03T	Operating Costs Homeless/AIDS Patients	570.201(e)	LMC, 570.208(a)(2)	People	Decent Housing	Availability/Accessibility	
4	Clearance, Demo, Remediate	570.201(d)	LMA, 570.208(a)(1) LMC, 570.208(a)(2)	Businesses Housing Units Public Facilities	Sustainable Living Environment	Sustainability	
			LMH, 570.208(a)(3)	Housing Units			
			LMJ, 570.208(a)(4)	Jobs			
04A	Cleanup of Contaminated Sites	570.201(d)	LMA, 570.208(a)(1) LMC, 570.208(a)(2)	Housing Units	Sustainable Living Environment	Sustainability	Report the number of sites cleaned based on the primary use of the site (e.g., not the number of businesses)
			LMH, 570.208(a)(3)	People			
5	Public Services	570.201(e)	LMA, 570.208(a)(1) LMC, 570.208(a)(2)	People	Sustainable Living Environment	Availability/Accessibility	
05A	Senior Services	570.201(e)	LMC, 570.208(a)(2)	People	Sustainable Living Environment	Availability/Accessibility	
05B	Handicapped Services	570.201(e)	LMC, 570.208(a)(2)	People	Sustainable Living Environment	Availability/Accessibility	
05C	Legal Services	570.201(e)	LMA, 570.208(a)(1) LMC, 570.208(a)(2)	People	Sustainable Living Environment	Availability/Accessibility	
05D	Youth Services	570.201(e)	LMC, 570.208(a)(2)	People	Sustainable Living Environment	Availability/Accessibility	
05E	Transportation Services	570.201(e)	LMA, 570.208(a)(1) LMC, 570.208(a)(2)	People	Sustainable Living Environment	Availability/Accessibility	
05F	Substance Abuse Services	570.201(e)	LMA, 570.208(a)(1) LMC, 570.208(a)(2)	People	Sustainable Living Environment	Availability/Accessibility	
05G	Battered and Abused Spouses	570.201(e)	LMC, 570.208(a)(2)	People	Sustainable Living Environment	Availability/Accessibility	

05H	Employment Training	570. 201(e)	LMA, 570.208(a)(1) LMC, 570.208(a)(2)	People	Economic Opportunities	Sustainability	
05I	Crime Awareness	570.201(e)	LMA, 570.208(a)(1) LMC, 570.208(a)(2)	People	Sustainable Living Environment	Sustainability	
05J	Fair Housing Activities-Subj.to 15% Pub.Serv.Cap	570.201(e)	LMA, 570.208(a)(1) LMC, 570.208(a)(2)	People	Sustainable Living Environment	Sustainability	
05K	Tenant/Landlord Counseling	570.201(e)	LMC, 570.208(a)(2)	People	Sustainable Living Environment	Sustainability	
05L	Child Care Services	570.201(e)	LMC, 570.208(a)(2)	People	Sustainable Living Environment	Availability/Accessibility	
05M	Health Services	570.201(e)	LMA, 570.208(a)(1) LMC, 570.208(a)(2)	People	Sustainable Living Environment	Availability/Accessibility	
05N	Abused and Neglected Children	570.201(e)	LMC, 570.208(a)(2)	People	Sustainable Living Environment	Availability/Accessibility	
05O	Mental Health Services	570.201(e)	LMA, 570.208(a)(1) LMC, 570.208(a)(2)	People	Sustainable Living Environment	Availability/Accessibility	
05P	Screening for Lead Based Paint/Lead Hazards Poisoning	570.201(e)	LMC, 570.208(a)(2)	People	Sustainable Living Environment	Sustainability	
05Q	Subsistence Payments	570.201(e)	LMC, 570.208(a)(2)	People	Sustainable Living Environment	Availability/Accessibility	
05R	Homeownership Assistance (not direct)	570.201(e)	LMH, 570.208(a)(3)	Households	Decent Housing	Affordability	
05S	Rental Housing Subsidies	570.201(e)	LMH, 570.208(a)(3)	Households	Decent Housing	Affordability	
05T	Security Deposits	570.201(e)	LMH, 570.208(a)(3)	Households	Decent Housing	Affordability	
05U	Housing Counseling	570.201(e)	LMH, 570.208(a)(3)	Households	Decent Housing	Affordability	
05V	Neighborhood Cleanups	570.201(e)	LMA, 570.208(a)(1)	People	Sustainable Living Environment	Sustainability	

05W	Food Banks	570.201(e)	LMA, 570.208(a)(1) LMC, 570.208(a)(2)	People	Sustainable Living Environment	Availability/Accessibility	
6	Interim Assistance	570.201(f)	LMA, 570.208(a)(1)	People Businesses Housing Units Public Facilities	Sustainable Living Environment	Sustainability	
7	Urban Renewal Completion	570.201(h)	LMA, 570.208(a)(1) LMC, 570.208(a)(2)	Businesses Public Facilities	Sustainable Living Environment	Sustainability	
			LMH, 570.208(a)(3)	Housing			
			LMJ, 570.208(a)(4)	Jobs			
8	Relocation	570.201(i)	LMA, 570.208(a)(1) LMC, 570.208(a)(2)	People Households Businesses Organizations (non-profit)	Decent Housing	Availability/Accessibility	
			LMH, 570.208(a)(3)	Households			
			LMJ, 570.208(a)(4)	Jobs			
9	Rental Income Loss	570.201(j)	LMA, 570.208(a)(1) LMC, 570.208(a)(2) LMH, 570.208(a)(3) LMJ, 570.208(a)(4)	People	Decent Housing	Affordability	Report the number of owners to whom payments are made.
11	Privately Owned Utilities	570.201(l)	LMA, 570.208(a)(1) LMC, 570.208(a)(2) LMH, 570.208(a)(3) LMJ, 570.208(a)(4)	Businesses	Sustainable Living Environment	Sustainability	Report the number of private utilities assisted.
12	Construction of Housing	570.201(m)	LMH, 570.208(a)(3)	Housing Units	Decent Housing	Affordability	New construction of housing with CDBG funds must be carried out by CBDOs, in accordance with the regulations at 570.204(a)
13	Homeownership Direct	570.201(n)	LMH, 570.208(a)(3)	Households	Decent Housing	Affordability	
14A	Rehab: Single-Unit Residential	570.202	LMH, 570.208(a)(3)	Housing Units	Decent Housing	Affordability	
14B	Rehab: Multi-Unit Residential	570.202	LMH, 570.208(a)(3)	Housing Units	Decent Housing	Affordability	
14C	Public Housing Modernization	570.202	LMH, 570.208(a)(3)	Housing Units	Decent Housing	Affordability	
14D	Rehab: Other than Publicly-Owned Residential Buildings	570.202	LMH, 570.208(a)(3)	Housing Units	Decent Housing	Affordability	
14E	Rehab: Publicly / Privately-Owned Commercial/Industrial	570.202	LMA, 570.208(a)(1) LMC, 570.208(a)(2)	Businesses	Decent Housing	Affordability	
			LMJ, 570.208(a)(4)	Jobs			
14F	Energy Efficiency Improvements	570.202	LMH, 570.208(a)(3)	Housing Units	Decent Housing	Sustainability	
14G	Acquisition For Rehabilitation	570.202	LMH, 570.208(a)(3)	Businesses Organizations Housing Units	Decent Housing	Affordability	

14H	Rehabilitation Administration	570.202	LMA, 570.208(a)(1) LMC, 570.208(a)(2) LMJ, 570.208(a)(4)	Businesses Organizations	Decent Housing	Sustainability	
			LMH, 570.208(a)(3)	Housing Units			
14I	Lead Based Paint/Hazards Test/Abatement	570.202	LMH, 570.208(a)(3)	Housing Units	Decent Housing	Sustainability	For proposed and actual units, report the number of Housing Units abated. In the Accomplishments Narrative, when appropriate, identify the number of housing units tested and number of children screened.

14J	Housing Services - HOME Program	570.202	LMH, 570.208(a)(3)	Housing Units	Decent Housing	Sustainability	
15	Code Enforcement	570.202(c)	LMA, 570.208(a)(1)	People Businesses Organizations Housing Units	Sustainable Living Environment	Sustainability	Report people when a Code Enforcement activity consists of inspecting privately-owned vacant lots and / or tagging abandoned vehicles. When a Code Enforcement activity includes inspection of multiple types of property including Housing Units, report Housing Unit inspected in the proposed and actual units fields and report the number of People, Businesses and / or organizations inspected, as appropriate, in the Accomplishments Narrative.
16A	Residential Historic Preservation	570.202(d)	LMH, 570.208(a)(3)	Housing Units	Sustainable Living Environment	Sustainability	
16B	Non-Residential Historic Preservation	570.202(d)	LMA, 570.208(a)(1) LMC, 570.208(a)(2) LMJ, 570.208(a)(4)	Businesses Organizations	Sustainable Living Environment	Sustainability	
17A	ED Acquisition by Recipient	570.203(a)	LMA, 570.208(a)(1) LMC, 570.208(a)(2)	Businesses	Economic Opportunities	Sustainability	
			LMJ, 570.208(a)(4)	Jobs			
17B	CI Infrastructure Development	570.203(a)	LMA, 570.208(a)(1) LMC, 570.208(a)(2)	Businesses	Economic Opportunities	Sustainability	
			LMJ, 570.208(a)(4)	Jobs			
17C	CI Building Acquisition, Construction, Rehabilitation	570.203(a)	LMA, 570.208(a)(1) LMC, 570.208(a)(2)	Businesses	Economic Opportunities	Sustainability	
			LMJ, 570.208(a)(4)	Jobs			
17D	Other Commercial/Industrial Improvements	570.203(a)	LMA, 570.208(a)(1) LMC, 570.208(a)(2)	Businesses	Economic Opportunities	Sustainability	
			LMJ, 570.208(a)(4)	Jobs			

18A	ED Assistance to For-Profits	570.203(b)	LMA, 570.208(a)(1) LMJ, 570.208(a)(4) SBA, 570.208 (b)(1)	Businesses Jobs Businesses	Economic Opportunities	Sustainability	Report the number of businesses assisted. Do not report the number of persons in the service area. That information can be derived from data entered on the MX03 screen.
18B	ED Technical Assistance	570.203(b)	LMA, 570.208(a)(1) LMJ, 570.208(a)(4)	Businesses Jobs	Economic Opportunities	Sustainability	Report Businesses in the proposed and actual units fields, and, where appropriate, report the number or people trained and / or provided support services in the Accomplishments Narrative.
18C	Micro-Enterprise Assistance	570.201(o)	LMA, 570.208(a)(1) LMC, 570.208(a)(2) LMJ, 570.208(a)(4)	People Businesses Jobs	Economic Opportunities	Sustainability	Report the number of businesses served when an activity assists a micro-enterprise, including assistance in creating a micro-enterprise. Report the number of people served when an activity provides training to assist people in developing and operating a micro-enterprise. If an activity undertakes both of these functions, report only the number of businesses assisted in the units fields. The number of persons trained should be reported in the accomplishment narrative.
19C	Nonprofit Capacity Building	570.201(p)	LMA, 570.208(a)(1) LMC, 570.208(a)(2) LMH, 570.208(a)(3) LMJ, 570.208(a)(4)	People Households Businesses Organizations Housing Units Public Facilities Jobs	Economic Opportunities	Sustainability	

19D	Assistance to Institutes of Higher Education	570.201(q)	LMA, 570.208(a)(1) LMC, 570.208(a)(2)	ALL	Economic Opportunities	Sustainability	Determine the eligible activity to be carried out by the institute and identify the correct matrix code and national objective for the activity. Then, use this table to assign the appropriate Accomplishment Code.
			LMH, 570.208(a)(3)	Housing Units			
			LMJ, 570.208(a)(4)	Jobs			
19E	Operation and Repair of Foreclosed Property		LMA, 570.208(a)(1) LMH, 570.208(a)(3)	Housing Units	NA	NA	
19F	Planned Repayments of Sec. 108 Loans		None	None	NA	NA	
19G	Unplanned Repayments of Sec. 108 Loans		None	None	NA	NA	
19H	State CDBG Technical Assistance to Grantees		None	None	NA	NA	
20	Planning (Entitlement Communities)	570.205	None	None	NA	NA	
20A	State Planning		LMA, 570.208(a)(1) LMC, 570.208(a)(2) LMH, 570.208(a)(3) LMJ, 570.208(a)(4)	People Households Businesses Organizations Housing Units Public Facilities Jobs	NA	NA	
21A	General Program Administration	570.206	None	None	NA	NA	
21B	Indirect Costs	570.206	None	None	NA	NA	
21C	Public Information	570.206	None	None	NA	NA	
21D	Fair Housing Activities (subject to Admin. cap)	570.206	None	None	Sustainable Living Environment	Sustainability	
21E	Submissions or Applications for Federal Programs	570.206	None	None	NA	NA	
21H	CDBG Funding of HOME Admin.		None	None	NA	NA	
21I	CDBG Funding of HOME CHDO Operating Costs		None	None	NA	NA	
21J	State Administration Costs		None	None	NA	NA	
22	Unprogrammed Funds		None	None	NA	NA	
23	Tornado Shelters - Private Mobile H Parks		LMA, 570.208(a)(1)	People	Sustainable Living Environment	Sustainability	

Federal Register

Tuesday,
March 7, 2006

Part III

Department of Housing and Urban Development

Notice of Outcome Performance Measurement System for Community Planning and Development Formula Grant Programs; Notice

DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

[Docket No. FR–4970–N–02]

Notice of Outcome Performance Measurement System for Community Planning and Development Formula Grant Programs

AGENCY: Office of the Assistant Secretary for Community Planning and Development, HUD.

ACTION: Notice.

SUMMARY: On June 10, 2005, HUD's Office of Community Planning and Development (CPD) published a notice in the **Federal Register** titled, "Notice of Proposed Outcome Performance Measurement System for Community Planning and Development Formula Grant Programs; Request for Comments." The notice described an outcome performance measurement system that was developed for grantees that receive funding from the Community Development Block Grant program (CDBG), HOME Investment Partnerships program (HOME), Emergency Shelter Grants program (ESG), and the Housing Opportunities for Persons with AIDS program (HOPWA).

The system was developed by a joint working group made up of members of the Council of State Community Development Agencies (COSCDA), the National Community Development Association (NCDA), the National Association for County Community Economic Development (NACCED), the National Association of Housing and Redevelopment Officials (NAHRO), the National Council of State Housing Agencies (NCSHA), CPD, HUD's Office of Policy Development and Research (PD&R), and the Office of Management and Budget (OMB). The June 10, 2005, notice described the proposed system and solicited comments from the public, particularly from formula program grantees, on the proposed performance measurement system. This final notice discusses and addresses the comments received and incorporates appropriate changes.

FOR FURTHER INFORMATION CONTACT: Margy Coccodrilli, CPD Specialist, Office of Block Grant Assistance, Room 7282, Department of Housing and Urban Development, 451 Seventh Street, SW., Washington, DC 20410–7000, telephone (202) 708–1577, extension 4507 (this is not a toll-free number). Hearing- or speech-impaired individuals may access this number through TTY by calling the toll-free Federal Information Relay Service at (800) 877–8339.

SUPPLEMENTARY INFORMATION:

I. Background

The Government Performance and Results Act of 1993 (GPRA) mandates that federal programs improve their effectiveness and public accountability by focusing on results. The OMB developed the Program Assessment Rating Tool (PART) to monitor compliance with the GPRA and to rate federal programs for their effectiveness and ability to show results.

Many CPD grantees have been frustrated by the inability to "tell their story" to their citizens and other stakeholders about the outcomes of the investments they have made in their communities using federal, state, and local resources. The inability to clearly demonstrate program results at the national level, which is the standard required by OMB's program assessment process, can have serious consequences for program budgets. On June 10, 2005, HUD published (70 FR 34044), a notice describing a proposed outcome performance measurement system and solicited comments. The system would enable HUD to collect information on the outcomes of activities funded with CPD formula grant assistance, and to aggregate that information at the national and local level. Reports would be made available to allow grantees to compare their performance to that of their peers. Based on the proposed system and taking into consideration the comments received, this notice establishes the outcome performance measurement system. This system is not intended to replace existing local performance measurement systems that are used to inform local planning and management decisions and increase public accountability.

This performance measurement system will be incorporated into HUD's Integrated Disbursement and Information System (IDIS), thus allowing for simplified data collection. The objectives and outcomes will appear on IDIS screens and grantees will select the objective and outcome that applies to each activity that the grantee undertakes. The indicators will be generated according to the matrix code, and for CDBG grantees, by the national objective. The possible indicators for each activity will also appear on an IDIS screen and the grantee will indicate which indicator(s) apply to that activity, as carried out by the grantee.

The indicators in this framework represent most of the activities that are undertaken by grantees of the CPD formula grant programs, but HUD acknowledges that there may be some activities that may not fit well into any of the indicator categories. While such activities may be very important to local interests, their numbers would not make a significant impact on a national level and could create a burden for other grantees. Therefore, the joint working group that developed the system decided to include indicators that can encompass most of the activities undertaken by grantees.

Separate from what the new performance measurement system can provide, the Department would like to be able to demonstrate potential outcomes such as higher homeownership rates and property valuations, lower unemployment rates and improved education levels, increased commercial and private investments, and additional assisted businesses that remain operational for at least three years. HUD will consult with the working group, grantees, and other interested parties to determine whether and how a set of particular community-level outcome measures can be established and uniformly applied. In the future, HUD may use the same or similar universal measures and standards to assess performance in other federal economic and community development programs. For example, HUD intends to obtain information on the development of brownfields and will consult with grantees on how best to collect such information. HUD will also undertake research to address such issues, and determine how frequently to assess progress, evaluate programs, perform analyses, and disseminate results based upon data that is comparable and generally available.

The structure of the new performance measurement system is consistent with the goals and objectives contained in HUD's Strategic Plan for the years 2006 to 2011, including expanding access to affordable housing, fostering a suitable living environment, and expanding economic opportunities.

The objectives, outcomes, and indicators described in this notice will appear this spring in the existing version of IDIS. Grantees will be requested to enter available data at that time. This fall, Phase I of the re-engineered IDIS will be released and grantees will be required to enter the performance data.

When Phase II of the re-engineered IDIS is released, HUD expects the overall administrative burden for grantees to be reduced; HUD's intent is to have the Consolidated Plan, Annual Action Plan, and Consolidated Annual Performance and Evaluation Report (CAPER) integrated into one single performance measurement system. In the interim, elements of the system may

be incorporated into the Consolidated Plan Management Process (CPMP) Tool so that local objectives and outcomes can be entered at the beginning of the Consolidated Plan or Annual Action Plan development process, and accomplishments under those objectives and outcomes can be reported on in the CAPER.

II. Discussion of Public Comments

General Comments

The public comment period closed on September 8, 2005. In addition to the 56 comments submitted in writing to HUD headquarters, additional comments were received during an interactive satellite broadcast from HUD headquarters in Washington, DC, and five regional feedback sessions that were held in San Francisco, Philadelphia, Detroit, Atlanta, and Austin. Each of those events provided opportunities for public comment.

There were multiple requests for HUD to develop a performance measurement Web site that would contain all the information that has been made available. That request has been acknowledged and there is now a CPD Web site that hosts this information. The URL is: *http://www.hud.gov/offices/cpd/about/performance/index.cfm.*

A number of comments praised the outcome measurement system and thanked HUD and the working group for the simplicity of the system; also, many comments posed questions. These questions are addressed in a question and answer format that has been distributed to grantees and is available on the Performance Measurement Web site. Several comments requested clarification of terms and definitions. These have been provided to grantees and are available on CPD's Performance Measurement website.

There were also many comments made about IDIS that were important to that system, but not necessarily relevant to the inclusion of the performance measurement indicators. Those comments have been forwarded to CPD's System Development and Evaluation Division. There were also comments on the Consolidated Plan Management Process and those comments have been forwarded to CPD's Office of Policy Development and Coordination.

Many comments suggested that issues and terminology of local interest be added to the framework. Unfortunately, because the framework was developed to capture national indicators in a standardized format, unique local information cannot be included. However in CPD Notice 03–09, issued in September 2003, HUD encouraged grantees to develop local performance measurement systems that complement this new national system by capturing the results of activities of local importance.

Specific Comments

Comment—There were several comments indicating that these performance measures should replace Consolidated Plans, Annual Action Plans, Consolidated Annual Performance and Evaluation Reports (CAPER), and Performance Evaluation Reports (PER).

Response—HUD anticipates that when Phase II of the IDIS re-engineering is complete in 2007, Consolidated Plans, Annual Action Plans, CAPERs, and PERs will become one continuous document.

Comment—There were several comments indicating the need for training on the performance measurement system and generally on IDIS, and specific training for entitlements, states, and urban counties, sub-recipients; training grantees to train their sub-recipients; and guidance/training on how the indicators apply to each program.

Response—HUD expects to provide training on IDIS in 2006. This training will incorporate the performance measurement framework; also, HUD has prepared guidance, questions and answers, and definitions. This, along with other related information, are available on CPD's Performance Measurement website.

Comment—Several commenters indicated that changes to administrative procedures, and possibly to grantee staffing, would have to be made at the local level and some asked that HUD provide assistance to tell grantees how this should be done.

Response—HUD will provide training on what data will need to be collected, but grantees will determine within their own administrative procedures how to coordinate the front-end planning, implementation, and reporting of activities. Because grantee procedures vary significantly based on agency size and expertise, HUD is not the appropriate entity to develop local administrative procedures for grantees.

Comment—Some comments referred to the difficulty that grantees would have in developing outcome statements.

Response—HUD will use the data that are reported and aggregated in IDIS to develop the outcome statements. If a jurisdiction has an activity that does not fit into the framework, that grantee may create an outcome statement in the narrative of the CAPER or PER to provide information to their citizens about the results of the activity.

Comment—Comments asked that HUD clarify the timing of when grantees will begin using the performance measurement system.

Response—The elements of the outcome performance measurement system will appear in the existing version of IDIS in Spring 2006. Because of the need for HUD to show results, grantees will be requested to enter data as soon as the system is available. Later in 2006, Phase I of the re-engineered IDIS will be released. At that time, grantees will be required to enter the performance data into the system.

Comment—There were comments suggesting that 40 percent be included in the breakout of numbers for area median income because this number would help show the percentage of "working poor;" that many projects exceed the HOME program minimum levels and assist persons between 30 percent and 50 percent; and that breaking down those income levels would cause additional work for CDBG grantees.

Response—Individual program requirements dictate the income percentages that are to be reported. Therefore, grantees need only provide the information that is currently required for each specific program. The area median income percentages published in this notice reflect the range of information required by all four CPD formula grants. When grantees enter data for activities into IDIS, only the income percentages applicable to those program activities will be populated for selection.

Comment—Several commenters urged HUD to provide sufficient time for grantees to revise forms and other business practices, that data collection should not begin until the re-engineered IDIS is available, and that information pertinent to these changes should be made available to grantees as soon as possible.

Response—On October 28, 2005, CPD issued a memo that provided the basic information needed to revise forms, such as applications from sub-recipients for funding, sub-recipient agreements, and client applications. Grantees could also use that memo to begin to plan for any administrative changes that might be required.

Comment—Some commenters requested that an indicator for section 504 compliance be included for owner-occupied housing units.

Response—HUD agrees. Although section 504 does not apply to homeowners, the accessibility indicator has been added for owner-occupied

units that are made accessible for persons with disabilities.

Comment—One comment received stated that there was no way in the system to report female heads of household.

Response—In IDIS, grantees are currently required to report the number of female heads of household for housing activities that meet the national objective of low-mod housing; therefore, no additional data is required.

Comment—Several comments reflected the need for additional resources to cover the added costs of administrative workload, training, and technology development.

Response—HUD is making every effort to minimize workload burden. HUD expects the increased administrative workload to be reduced as HUD streamlines the planning and reporting requirements. While plans for training are not yet complete, HUD will attempt to reduce grantee costs by conducting training using technology such as the Performance Measurements Web site, broadcasts, and Web casts, and possibly local training provided through field offices. Also, HUD expects to provide training at conferences of the national associations that were involved in the development of the system.

Comment—Several commenters asked HUD to develop sample forms that can be used to collect the additional data.

Response—Since grantees differ greatly in administrative procedures, based on agency size and expertise, HUD is not the appropriate entity to develop specific sample forms. However, HUD will provide guidance on data collection that will assist grantees in adding appropriate language to existing forms.

Comment—There were several comments that suggested changes to the flow chart that was included in the proposed outcome performance measurement system.

Response—The flow chart could not be designed to accommodate the various requests and the full scope of all activities. Because many commenters considered the flow chart to provide little value, it has been removed from the final notice of the outcome performance measurement system.

Comment—Several comments stated that ESG and HOPWA indicators should include case management.

Response—HOPWA case management activities will be reported in the HOPWA Annual Performance Reports and later in IDIS. ESG does not currently collect information on case management activities in IDIS.

Comment—Several comments indicated that the system should provide the ability to capture more than one objective and more than one outcome for each activity.

Response—The objectives closely mirror the statutory objectives of each program. Grantees will select the one objective that the activity is intended to meet. To prevent the dilution of data and capture the largest numbers possible for each outcome, grantees are encouraged to select the outcome that best describes the result of the activity. However, if a grantee feels strongly that an activity is best represented by two outcomes, it would indicate the primary outcome and the additional outcome.

Comment—There were comments suggesting that only indicators required by each specific program should be required for reporting.

Response—Both the proposed and final notices state that grantees will report these data only if the indicator is appropriate to the program.

Comment—One comment stated that Community Housing Development Organization (CHDO) operating costs should not be included in the system.

Response—Up to 5 percent of a participating jurisdiction's HOME allocation may be used to pay eligible CHDO operating costs. However, the use of HOME funds for this purpose, or for administrative costs generally, does not directly result in a measurable output in terms of affordable housing units produced or households assisted. In fact, the use of HOME funds to cover CHDO operating costs actually reduces that amount of funds that would otherwise be available for projects. Consequently, while CHDO operating support funds are necessary in many instances, HUD agrees with the commenter that it would not be appropriate to include the use of CHDO operating costs as an indicator in a system focused on measuring performance.

Comment—One comment indicated that the list of indicators should not be increased without careful evaluation and input from the working group.

Response—The working group has continued to provide evaluation and input on the development and implementation of the outcome performance measurement system.

Comment—Many comments suggested possible changes to the indicators or additional indicators to be included to the proposed outcome performance measurement system.

Response—HUD carefully considered each suggestion. Some of the suggestions were incorporated into the framework, while others reflected changes that were already planned for inclusion in the re-engineering of IDIS. HUD believes that the indicators included in the outcome performance measurement system published herein reflect most of the activities undertaken by grantees. However, if it becomes apparent that additional data elements are necessary, other indicators can be added to the system at a later date.

Comment—Several comments questioned the difference between International Building Code Energy (IBCE) Standards, and the International Energy Conservation Code (IECC), and the inclusion of Energy Star Standards as a subset of a larger code.

Response—Most states and local governments have adopted one or more International Code Council (ICC) building codes. The ICC codes have replaced other prior model codes, resulting in many different building codes. HUD has determined that identifying only IBCE or IECC and not identifying other possible codes would create incomplete data, as well as confusion over which codes to use. Therefore, the data elements for building energy codes have been deleted. In 2002, HUD entered into a memorandum of understanding with the Environmental Protection Agency (EPA) and the Department of Energy (DOE) to promote the use of Energy Star in HUD's affordable housing programs. Therefore, Energy Star will remain as a data element for energy conservation activities for the housing indicator categories in the performance measurement system.

Comment—There were comments about the use of the NAICS industry classification codes and whether the codes would be available in a drop-down format in IDIS.

Response—HUD has concluded that the large number of NAICS classification codes will create a reporting burden for grantees and businesses and therefore has deleted that data element.

III. Environmental Impact

This notice does not direct, provide for assistance or loan and mortgage insurance for, or otherwise govern or regulate, real property acquisition, disposition, leasing, rehabilitation, alteration, demolition, or new construction, or establish, revise or provide for standards for construction or construction materials, manufactured housing, or occupancy. Accordingly, under 24 CFR 50.19(c)(1), this notice is categorically excluded from environmental review under the National Environmental Policy Act of 1969 (42 U.S.C. 4321).

Dated: March 1, 2006.

Pamela H. Patenaude,

Assistant Secretary for Community Planning and Development.

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CPD Outcome Performance Measurement System

BACKGROUND

A working group, established by and composed of representatives from national housing and community development associations as well as HUD and the Office of Management and Budget (OMB), began holding monthly meetings in June 2004 for the purpose of developing an outcome performance measurement system for key HUD housing and community development programs. The working group was made up of grantee representatives from the Council of State Community Development Agencies (COSCDA), the National Community Development Association (NCDA), the National Association for County Community Economic Development (NACCED), the National Association of Housing and Redevelopment Officials (NAHRO), the National Council of State Housing Agencies (NCSHA), HUD's Offices of Community Planning and Development (CPD) and Policy Development and Research (PD&R), and the Office of Management and Budget (OMB).

The members of this working group finalized their work and reached agreement on an outcome performance measurement system to propose for grantees that receive funding from the Community Development Block Grant program (CDBG), the HOME Investment Partnerships Program (HOME), the Emergency Shelter Grant program (ESG), and the Housing Opportunities for Persons with AIDS program (HOPWA) formula grants. The proposed Outcome Performance Measurement System was published in the Federal Register on June 10, 2005 (70 FR 34044). The final outcome performance measurement system includes objectives, outcome measures, and indicators that describe outputs. The objectives are: Creating Suitable Living Environments, Providing Decent Affordable Housing, and Creating Economic Opportunities. The outcome categories are: Accessibility/Availability, Affordability, and Sustainability. There is a standardized list of output indicators that grantees will report on as appropriate for their chosen objectives and outcomes. Although the system is not designed to capture every activity, HUD is confident that the list is broad enough that the results of a significant amount of activities of each of the programs will be reported. Most of the output indicators required by the system do not require additional data collection or reporting.

Grantees shall incorporate performance measurements into consolidated plans or annual action plans prepared for Fiscal Year (FY) 2007 CDBG, HOME, ESG, and HOPWA funding. This will include the determination of an objective and selection of an outcome for each activity, based on the type of activity and its purpose. HUD realizes that some grantees have already completed preparation of their FY2006 plans, while others are well into the planning and development process. However, where possible, grantees should **immediately** incorporate the new performance measurements approach into consolidated plans or annual action plans that are being prepared for FY2006 funds. This will allow grantees to have a better capability to enter the expected data into IDIS, as discussed below. If a grantee has already submitted its FY2006 consolidated plan or action plan to HUD and the plan has been approved, adding new performance measurement features to the plan does not constitute an amendment under §91.505(a); however, the grantee should determine whether this addition is an amendment under its citizen participation plan.

IDIS will begin accepting data in Spring 2006 and HUD is strongly encouraging every grantee to begin to enter data at that time for all completed activities, based on information that is available. The objectives and indicators reported in IDIS will reflect the rationale for funding that activity. The outcome will be based on the result the grantee hoped to achieve by funding the activity. The indicators will describe, in numerical terms, any particular benefit that the activity produced. In Fall 2006, it will become mandatory for all formula grantees to enter the required performance measurement data (objectives, outcomes, and indicators) into IDIS for all existing activities with a status of budgeted or underway as of the beginning of FY2007, as well as for all new activities.

Grantees are only required to report the indicators that appear for each activity; however, if a jurisdiction has activities that are not covered by these indicators, grantees can manually report any objectives, outcomes, and indicators in the narrative section of the Consolidated Annual Performance and Evaluation Report (CAPER) or State Performance Evaluation Report (PER), or HOPWA Annual Performance Report (APR).

The system has been designed to enable grantees and HUD to inform Congress, OMB, and the public of many of the outcomes of the covered programs. The goal is to begin focusing on more outcome-oriented information and be able to aggregate results across the broad spectrum of programs funded by these block grants at the city, county, and state level.

HOW WILL IT WORK?

Based on the intent when funding an activity, grantees will determine which of the three objectives best describes the purpose of the activity. The objectives will appear on an IDIS screen and the grantee will choose from the options presented. The three objectives are:

Suitable Living Environment - In general, this objective relates to activities that are designed to benefit communities, families, or individuals by addressing issues in their living environment.

Decent Housing - The activities that typically would be found under this objective are designed to cover the wide range of housing possible under HOME, CDBG, HOPWA or ESG. This objective focuses on housing programs where the purpose of the program is to meet individual family or community needs and not programs where housing is an element of a larger effort, since such programs would be more appropriately reported under Suitable Living Environment.

Creating Economic Opportunities - This objective applies to the types of activities related to economic development, commercial revitalization, or job creation.

Similarly, once the objective for the activity is selected, the grantee will then choose which of the three outcome categories best reflects what they are seeking to achieve by funding that activity, and then enter the outcome on the appropriate IDIS screen. It is important that the data are not diluted by too much information. Therefore, grantees are encouraged to report which one of the three outcomes is most appropriate for their activity. However, if the grantee believes that two outcomes of equal importance will be realized, then a second outcome may also be selected. The three outcome categories are:

Availability/Accessibility. This outcome category applies to activities that make services, infrastructure, public services, public facilities, housing, or shelter available or accessible to low- and moderate-income people, including persons with disabilities. In this category, accessibility does not refer only to physical barriers, but also to making the affordable basics of daily living available and accessible to low and moderate income people where they live.

Affordability. This outcome category applies to activities that provide affordability in a variety of ways in the lives of low- and moderate-income people. It can include the creation or maintenance of affordable housing, basic infrastructure hook-ups, or services such as transportation or day care.

Sustainability: Promoting Livable or Viable Communities. This outcome applies to projects where the activity or activities are aimed at improving communities or neighborhoods, helping to make them livable or viable by providing benefit to persons of low- and moderate-income or by removing or eliminating slums or blighted areas, through multiple activities or services that sustain communities or neighborhoods.

Each outcome category can be connected to each of the overarching objectives, resulting in a total of nine groups of outcome/objective statements under which grantees would report the activity or project data to document the results of their activities or projects. Each activity will provide one of the following statements, although sometimes an adjective such as new, improved, or corrective may be appropriate to refine the outcome statement.

- Accessibility for the purpose of creating suitable living environments
- Accessibility for the purpose of providing decent affordable housing
- Accessibility for the purpose of creating economic opportunities
- Affordability for purpose of creating suitable living environments
- Affordability for the purpose of providing decent affordable housing
- Affordability for the purpose of creating economic opportunities
- Sustainability for the purpose of creating suitable living environments
- Sustainability for the purpose of providing decent affordable housing
- Sustainability for the purpose of creating economic opportunity

Based on the objectives and outcomes selected, and, in the case of CDBG activities the national objective selected, IDIS will identify the specific indicators for each activity. Only the specific indicators appropriate for that activity will be available for grantees to report. Thus, the process of identifying and selecting indicators will be minimized. The objective and outcomes will combine with the activity indicator data to produce statements of national significance regarding the results of the activity.

The specific indicators are described in this notice. Grantees are reminded that these indicators will be incorporated into IDIS and, therefore, will appear on screens and not in the written format shown here. Grantees will only report this data if the indicator is a requirement of the program from which the activity is funded.

There are certain data elements commonly reported by all programs, although each of the four programs may require different specificity or may not require each element listed below. Grantees will only report the information required for each program, as currently required. No new reporting requirements are being imposed for program activities that do not currently collect these data elements. The elements include:

- Amount of money leveraged (from other federal, state, local, and private sources) per activity;
- Number of persons, households, units, or beds assisted, as appropriate;
- Income levels of persons or households by: 30 percent, 50 percent, 60 percent, or 80 percent of area median income, per applicable program requirements. However, if a CDBG activity benefits a target area, that activity will show the total number of persons served and the percentage of low/mod persons served. Note that this requirement is not applicable for economic development activities awarding funding on a "made available basis;"
- Race, ethnicity, and disability (for activities in programs that currently report these data elements)

HUD will combine the objectives, outcomes, and data reported for the indicators to produce outcome narratives that will be comprehensive and will demonstrate the benefits that result from the expenditure of these federal funds.

This system maintains the flexibility of the block grant programs, as the objectives, outcomes, and indicators will be determined by the grantees, based on the intent of the activities they choose to fund. The standardized format provides that reporting will be uniform, and therefore the achievements of these programs can be aggregated for each grantee locally and for all grantees at the national level.

Specific Outcome Indicators

1) Public facility or infrastructure activities

Number of persons assisted:

- with new access to a facility or infrastructure benefit
- with improved access to a facility or infrastructure benefit
- where activity was used to meet a quality standard or measurably improved quality, report the number that no longer only have access to a substandard facility or infrastructure

2) Public service activities

Number of persons assisted:

- with new access to a service
- with improved access to a service
- where activity was used to meet a quality standard or measurably improved quality, report the number that no longer only have access to substandard service

3) Activities are part of a geographically targeted revitalization effort (Y/N)?

If Yes (check one)

a) Comprehensive
b) Commercial
c) Housing
d) Other

Choose all the indicators that apply, or at least 3 indicators if the effort is (a) Comprehensive.

- Number of new businesses assisted
- Number of businesses retained
- Number of jobs created or retained in target area
- Amount of money leveraged (from other public or private sources)
- Number of low- or moderate-income (LMI) persons served
- Slum/blight demolition
- Number of LMI households assisted
- Number of acres of remediated brownfields
- Number of households with new or improved access to public facilities/services
- Number of commercial façade treatment/business building rehab
- Optional indicators a grantee may elect to use include crime rates, property value change, housing code violations, business occupancy rates, employment rates, homeownership rates (optional)

4) Number of commercial façade treatment/business building rehab (site, not target area based)

5) Number of acres of brownfields remediated (site, not target area based)

6) New rental units constructed per project or activity

Total number of units:

Of total:

Number affordable
Number section 504 accessible
Number qualified as Energy Star

Of the affordable units:

Number occupied by elderly
Number subsidized with project-based rental assistance (federal, state, or local program)
Number of years of affordability
Number of housing units designated for persons with HIV/AIDS, including those units receiving assistance for operations
Of those, number of units for the chronically homeless

Number of units of permanent housing designated for homeless persons and families, including those units receiving assistance for operations
Of those, number of units for the chronically homeless

7) Rental units rehabilitated

Total number of units:

Of total:
Number affordable
Number section 504 accessible
Number of units created through conversion of nonresidential buildings to residential buildings
Number brought from substandard to standard condition (HQS or local code)
Number qualified as Energy Star
Number brought into compliance with lead safe housing rule (24 CFR part 35)

Of those affordable:
Number occupied by elderly
Number subsidized with project-based rental assistance (federal, state or local program)
Number of years of affordability
Number of housing units designated for persons with HIV/AIDS, including those units receiving assistance for operations
Of those, the number of units for the chronically homeless
Number of units of permanent housing for homeless persons and families, including those units receiving assistance for operations
Of those, number of units for the chronically homeless

8) Homeownership Units Constructed, Acquired, and/or Acquired with Rehabilitation (per project or activity) "

Total number of units

Of those:
Number of affordable units
Number of years of affordability
Number qualified as Energy Star
Number section 504 accessible
Number of households previously living in subsidized housing

Of those affordable:
Number occupied by elderly
Number specifically designated for persons with HIV/AIDS
Of those, the number specifically for chronically homeless
Number specifically designated for homeless
Of those, number specifically for chronically homeless

9) Owner occupied units rehabilitated or improved

Total number of units:

Number occupied by elderly
Number of units brought from substandard to standard condition (HQS or local code)
Number qualified as Energy Star
Number of units brought into compliance with lead safe housing rule (24 CFR part 35)
Number of units made accessible for persons with disabilities

10) Direct Financial Assistance to homebuyers

Number of first-time homebuyers
Of those, number receiving housing counseling

Number receiving down-payment assistance/closing costs

11) Tenant-Based Rental Assistance

Total Number of Households

Of those:
Number with short-term rental assistance (less than 12 months)
Number of homeless households
Of those, number of chronically homeless households

12) Number of homeless persons given overnight shelter

13) Number of beds created in overnight shelter or other emergency housing

14) Homelessness Prevention

- Number of households that received emergency financial assistance to prevent homelessness
- Number of households that received emergency legal assistance to prevent homelessness

15) Jobs created

Total number of jobs

Employer-sponsored health care (Y/N)
Type of jobs created (use existing Economic Development Administration (EDA) classification)
Employment status before taking job created:
Number of unemployed _____

16) Jobs retained

Total number of jobs

Employer-sponsored health care benefits

17) Businesses assisted

Total businesses assisted

New businesses assisted

Existing businesses assisted
Of those:
Business expansions
Business relocations

DUNS number(s) of businesses assisted

(HUD will use the DUNS numbers to track number of new businesses that remain operational for 3 years after assistance)

18) Does assisted business provide a good or service to meet needs of service area/neighborhood/community (to be determined by community)?

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