

# FY 2019 - 20 Proposed Budget and Multi-Year Capital Plan

## Inspector General

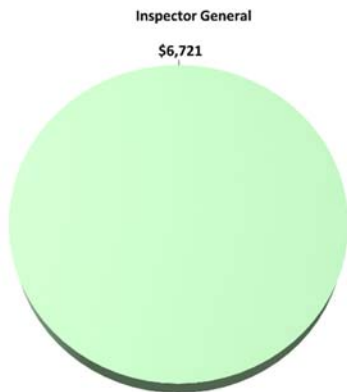
The Office of the Inspector General (OIG) serves the residents of Miami-Dade County by identifying fraud, mismanagement, waste, and abuse of power in County projects, programs, and contracts. Created by the Board of County Commissioners (BCC) in response to the public's demand for more accountable government, the enacting legislation ensures that the OIG is independent and autonomous, so that it may carry out its oversight of such a large and diverse government without political interference.

As part of the General Government strategic area, the OIG routinely reviews and evaluates proposals, contracts, and programs for a range of management criteria. The OIG also investigates a variety of cases, including contractors doing business with and/or receiving funds from the County and cases of employee and official misconduct.

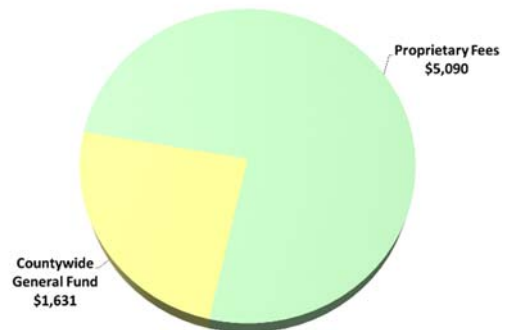
The OIG's jurisdiction encompasses all County departments, agencies, instrumentalities, and the programs thereunder. This jurisdiction extends to all County employees, public officials, elected officials, and vendors and contractors doing business with the County. The OIG has been designated a criminal justice agency by the Federal Bureau of Investigation and is accredited by the Commission for Florida Law Enforcement Accreditation based upon statewide standards for Offices of Inspectors General.

## FY 2019-20 Proposed Operating Budget

**Expenditures by Activity**  
(dollars in thousands)



**Revenues by Source**  
(dollars in thousands)



## FY 2019 - 20 Proposed Budget and Multi-Year Capital Plan

### TABLE OF ORGANIZATION

<b>INSPECTOR GENERAL</b>	
Provides oversight to Miami-Dade County operations by investigating, auditing, and reviewing County programs, projects, and contracts to detect and prevent fraud, mismanagement, waste, and abuse	
<u>FY 18-19</u> 38	<u>FY 19-20</u> 38

The FY 2019-20 total number of full-time equivalent positions is 38

### DIVISION: INSPECTOR GENERAL

The OIG's principal objective is to promote honesty and efficiency in government and to prevent and detect misconduct, fraud and abuse in County programs and contracts. The OIG strives to ensure that taxpayers get a fair and honest accounting of their money and, where possible, seeks appropriate remedies to recover public funds.

- Investigates, audits and inspects programs, projects, and contracts to detect and prevent fraud, mismanagement, waste and abuse
- Publicly reports findings and initiates or makes civil, administrative, and criminal referrals where necessary
- Communicates the Office's accomplishments through report distribution, website communication, and public awareness initiatives
- Provides all professional support to these functions including publicly reporting findings

### Key Department Measures, Strategic Objectives, and Resiliency Drivers

Measures	SO	RD	Type	Good	FY 16-17	FY 17-18	FY 18-19	FY 18-19	FY 19-20
					Actual	Actual	Budget	Projection	Target
Contracts/programs audited and reviewed	GG1-2	LS-2	OP	↔	37	30	27	35	30
Reports issued	GG1-2	LS-2	OP	↔	9	11	15	10	15
Advisory memoranda issued	GG1-2	LS-2	OP	↔	12	26	20	20	20
Complaints received*	GG1-2	LS-2	OP	↔	308	344	300	300	300
Percentage of complainants receiving feedback about initial disposition of complaint within 30 days**	GG1-2	LS-2	OC	↑	N/A	N/A	95%	95%	95%

\* The decrease for FY 2018-19 Budget and subsequent reporting periods is due to Public Housing and Community Development (PHCD) creating an online fraud reporting form to specifically receive allegations of suspected fraud and wrongdoing regarding Section 8 and other housing-related fraud; consequently, the OIG will not be the primary recipient of these types of complaints

\*\* This measure considers only complainants providing contact information; due to delays in acquiring relevant data this performance measure began being monitored in FY 2018-19

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### ADDITIONAL INFORMATION

- The FY 2019-20 Proposed Budget and Multi-Year Capital Plan includes charges of one quarter of one percent to certain procurement and construction contracts (\$3.7 million), as well as additional reimbursements of \$825,000 for audits and investigative work performed for Aviation (\$500,000), Water and Sewer (\$75,000), Solid Waste Management (\$25,000), Transportation and Public Works (\$100,000) and the Miami-Dade County School Board (\$125,000)
- In FY 2018-19, the OIG will continue to train employees on the County's Employee Protection Ordinance (EPO); training on the EPO involves both live training sessions and video tutorials that educate employees on the various legal protections afforded to them, including confidentiality and protection from retaliation, when they report incidents of fraud, waste, and abuse to the OIG; these outreach efforts will be continuously maintained
- In FY 2018-19, the OIG completed and issued its final report of investigation involving the baggage handling operation at Miami International Airport; as a result of this investigation, a new solicitation for a baggage handling operating agreement will be issued; the OIG's contract oversight unit has already begun its monitoring efforts related to the newly anticipated procurement and will continue monitoring in FY 2019-20
- Based on earlier oversight efforts involving the procurement and implementation of new traffic controllers for ten of the County's most congested corridors, in FY 2018-19, the OIG has been actively engaged in monitoring the County's procurement of an advanced traffic management system to be installed countywide; the OIG expects that these contract oversight efforts will continue into FY 2019-20
- The OIG is monitoring the County's effort to build a new civil courthouse; earlier efforts involved a Request for Qualifications and an unsolicited proposal; during FY 2018-19, the OIG has been monitoring Phase 1 of the County's new two-phase Request for Proposal process and has been attending a series of negotiation sessions with the short-listed proposers prior to the official start of Phase 2 of the procurement
- In FY 2018-19, the OIG is performing audits of selected County pool contracts involving the purchase of parts and repair services for transit buses and other support equipment; electrical and electronic components, tools, parts and supplies; and refrigerant gas for HVAC systems; the objectives of the audits include testing compliance with the contract and any other applicable resolutions, ordinances, policies and procedures
- In FY 2018-19, the OIG initiated an inspection of the County's new process that allows for-hire vehicles and Special Transportation Services (STS) providers to self-inspect and self-certify their vehicles rather than utilizing the County facility for obtaining annual inspection certificates
- As part of the OIG's continuing oversight efforts related to the Water and Sewer Department's (WASD) consent decree program, in FY 2018-19, the OIG reviewed WASD's proposed Amendment 1 to its contract with AECOM, the consultant for program and construction management services, and issued a memorandum to the BCC on its observations; one of the key goals of the OIG in conducting contract oversight is to provide decision makers with independent and objective observations in order to assist in decision making

### SELECTED ITEM HIGHLIGHTS AND DETAILS

Line Item Highlights	(dollars in thousands)				
	Actual FY 16-17	Actual FY 17-18	Budget FY 18-19	Projection FY 18-19	Proposed FY 19-20
Advertising	0	0	0	0	0
Fuel	0	0	0	0	0
Overtime	0	0	0	0	0
Rent	18	0	0	0	0
Security Services	2	1	3	1	2
Temporary Services	0	0	0	0	0
Travel and Registration	38	45	52	52	52
Utilities	26	28	25	29	30

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### OPERATING FINANCIAL SUMMARY

(dollars in thousands)	Actual	Actual	Budget	Proposed
	FY 16-17	FY 17-18	FY 18-19	FY 19-20
<b>Revenue Summary</b>				
General Fund Countywide	834	1,174	721	1,631
Carryover	2,102	1,589	1,400	565
Departmental Oversight (MOUs)	826	669	800	825
Fees and Charges	3,460	3,941	3,450	3,700
Interest Earnings	11	36	0	0
Miscellaneous Revenues	29	36	0	0
<b>Total Revenues</b>	<b>7,262</b>	<b>7,445</b>	<b>6,371</b>	<b>6,721</b>
<b>Operating Expenditures Summary</b>				
Salary	4,172	4,261	4,648	4,932
Fringe Benefits	1,173	1,262	1,425	1,496
Court Costs	0	0	2	1
Contractual Services	0	0	2	2
Other Operating	160	133	183	184
Charges for County Services	85	85	68	62
Capital	83	33	43	44
<b>Total Operating Expenditures</b>	<b>5,673</b>	<b>5,774</b>	<b>6,371</b>	<b>6,721</b>
<b>Non-Operating Expenditures Summary</b>				
Transfers	0	0	0	0
Distribution of Funds In Trust	0	0	0	0
Debt Service	0	0	0	0
Depreciation, Amortizations and Depletion	0	0	0	0
Reserve	0	0	0	0
<b>Total Non-Operating Expenditures</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

(dollars in thousands)	Total Funding		Total Positions	
	Budget	Proposed	Budget	Proposed
Expenditure By Program	FY 18-19	FY 19-20	FY 18-19	FY 19-20
<b>Strategic Area: General Government</b>				
Inspector General	6,371	6,721	38	38
<b>Total Operating Expenditures</b>	<b>6,371</b>	<b>6,721</b>	<b>38</b>	<b>38</b>