

FY 2020 - 21 Adopted Budget and Multi-Year Capital Plan

Commission on Ethics and Public Trust

The Commission on Ethics and Public Trust (Ethics Commission) is an independent agency with advisory and quasi-judicial powers. The purpose of the Ethics Commission is to promote and enforce high standards of ethical conduct in government and to build and maintain confidence in public servants.

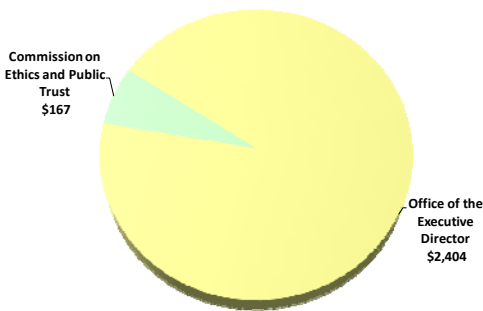
As part of the General Government strategic area, the Ethics Commission is dedicated to reinforcing public trust in the administration of government by informing County and municipal elected officials, employees, the public and the private sector about the County Conflict of Interest and Code of Ethics Ordinance (County Ethics Code). The Ethics Commission is authorized to investigate complaints and render advisory opinions related to the following County or municipal legislation: the County Ethics Code including sections related to Lobbyist Registration and Code of Silence, the Citizens' Bill of Rights, Ethical Campaign Practices, the Employee Protection Ordinance and the Public Service Honor Code. It also provides guidance on State of Florida Public Records and Government in the Sunshine laws. Community outreach and educational programs are crucial components of the Ethics Commission's mission. The Ethics Commission hosts a wide array of programs to educate the public on issues concerning ethics, good governance and accountability through town hall meetings, panel discussions and training workshops, as well as local and national conferences and forums.

The Ethics Commission, by Board ordinance, has jurisdiction extending to municipalities within Miami-Dade County. Its jurisdiction also extends to certain lobbyists, contractors and vendors. The Ethics Commission works closely with the Office of the Inspector General and the State Attorney's Office, as well as other criminal law enforcement agencies.

FY 2020-21 Adopted Operating Budget

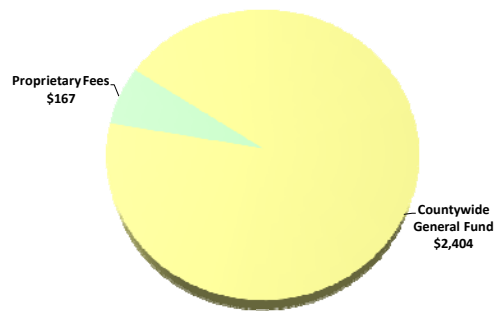
Expenditures by Activity

(dollars in thousands)



Revenues by Source

(dollars in thousands)



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TABLE OF ORGANIZATION

<u>OFFICE OF THE EXECUTIVE DIRECTOR</u>	
Provides administrative support to the Ethics Commission; recommends legislative and policy initiatives that promote ethical government and accountability; issues and approves ethics opinions; supervises and participates in ethics training programs for public officials, employees and candidates for elected office.	
<u>FY 19-20</u>	<u>FY 20-21</u>
16	16

The FY 2020-21 total number of full-time equivalent positions is 16 FTE.

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DIVISION: OFFICE OF THE EXECUTIVE DIRECTOR

The Commission on Ethics and Public Trust promotes and enforces high standards of ethical conduct in government and builds and maintains confidence in public servants.

- Recommends legislative and policy initiatives that promote ethical government and accountability; liaises with the community through outreach activities, including speeches, media events, reports and publications
- Tries cases before the Ethics Commission and refers cases for criminal prosecution or other disposition(s) with appropriate agencies
- Responds to requests for advisory opinions by officials, employees and contractors under the authority of the Ethics Commission
- Conducts investigations of official and/or employee misconduct in County and municipal governments and processes complaints that are filed by the general public to be heard by the Ethics Commission
- Provides training for government officials and personnel, candidates for office, students and the business community regarding ordinances under the purview of the Ethics Commission and ethical practices in government
- Manages, assigns and reviews enforcement actions undertaken by the Ethics Commission investigators and the Commission Advocate
- Responsible for the day-to-day operation of the agency including budget and personnel functions

Key Department Measures, Strategic Objectives and Resiliency Drivers

Measures	SO	RD	Type	Good	FY 17-18	FY 18-19	FY 19-20	FY 19-20	FY 20-21
					Actual	Actual	Budget	Projection	Target
Number of complaints filed	GG1-2	LS-2	IN	↔	51	52	40	45	50
Number of requests for opinions and inquiries filed*	GG1-2	LS-2	IN	↔	353	350	400	180	200
Number of investigations handled**	GG1-2	LS-2	OP	↔	92	125	130	110	130
Number of Hotline, Mailbox and General Inquiries Responses***	GG1-2	LS-2	OP	↔	N/A	N/A	N/A	N/A	250
Number of Ethics trainings and workshops****	GG1-2	LS-2	OP	↔	393	400	410	75	100
Percentage of County employees trained within the past three years	GG1-2	LS-2	OC	↑	100%	100%	100%	100%	100%

* FY 2018-19 Actual shown is an estimate; informal e-mail ethics “opinions” are no longer provided by the Commission on Ethics and all opinions are now issued in a formal hard-copy legal memorandum format; e-mail ethics guidance or exchanges between COE staff and requesting parties are no longer included in opinion totals; the new methodology is reflected in the FY 2019-20; Projection and the FY 2020-21 Target

** FY 2019-20 Projection is impacted by COVID-19; FY 2020-21 Target assumes stabilization as part of the “new normal”

*** New performance measure that captures formerly unreported COE staff assistance to the general public and County and municipal elected officials, board members, employees and lobbyists

**** FY 2018-19 Actual shown is an estimate; volunteers that made presentations on behalf of the COE were previously included in trainings and workshops totals; going forward, these volunteer presentations will no longer be included and only the numbers of trainings and workshops hosted by COE staff will be included in these totals; these new methodologies are reflected in the FY 2019-20 Projection and the FY 2020-21 Target

ADDITIONAL INFORMATION

- ☛ The FY 2020-21 Adopted Budget includes a transfer of \$70,000, as required under Ordinance 10-56, from the Office of the Clerk Lobbyist Trust Fund to support ethics training and conference expenditures including, but not limited to, educational materials, food and non-alcoholic beverages and personnel expenditures

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SELECTED ITEM HIGHLIGHTS AND DETAILS

Line Item Highlights	(dollars in thousands)				
	Actual FY 17-18	Actual FY 18-19	Budget FY 19-20	Projection FY 19-20	Budget FY 20-21
Advertising	0	0	1	0	0
Fuel	1	0	0	0	0
Overtime	0	0	0	0	0
Rent	96	96	102	102	0
Security Services	1	1	1	1	1
Temporary Services	0	0	0	0	0
Travel and Registration	5	1	6	2	3
Utilities	18	19	27	13	11

OPERATING FINANCIAL SUMMARY

(dollars in thousands)	Actual FY 17-18	Actual FY 18-19	Budget FY 19-20	Adopted FY 20-21
Revenue Summary				
General Fund Countywide	1,978	2,162	2,461	2,404
Carryover	140	23	14	0
Fees and Charges	99	147	93	97
Lobbyist Trust Fund	75	118	74	70
Total Revenues	2,292	2,450	2,642	2,571
Operating Expenditures Summary				
Salary	1,526	1,589	1,804	1,824
Fringe Benefits	477	545	597	623
Court Costs	0	0	0	0
Contractual Services	1	2	1	1
Other Operating	164	173	201	81
Charges for County Services	101	61	34	37
Capital	0	1	5	5
Total Operating Expenditures	2,269	2,371	2,642	2,571
Non-Operating Expenditures Summary				
Transfers	0	0	0	0
Distribution of Funds In Trust	0	0	0	0
Debt Service	0	0	0	0
Depreciation, Amortizations and Depletion	0	0	0	0
Reserve	0	0	0	0
Total Non-Operating Expenditures	0	0	0	0

(dollars in thousands)	Total Funding		Total Positions	
Expenditure By Program	Budget FY 19-20	Adopted FY 20-21	Budget FY 19-20	Adopted FY 20-21
Strategic Area: General Government				
Commission on Ethics and Public Trust	181	167	0	0
Office of the Executive Director	2,461	2,404	16	16
Total Operating Expenditures	2,642	2,571	16	16