

FY 2021 - 22 Adopted Budget and Multi-Year Capital Plan

Inspector General

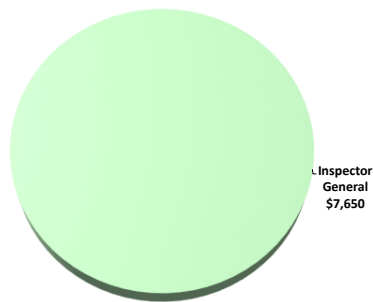
The Office of the Inspector General (OIG) serves the residents of Miami-Dade County by identifying fraud, mismanagement, waste and abuse of power in County projects, programs and contracts. Created by the Board of County Commissioners (BCC) in response to the public's demand for more accountable government, the enacting legislation ensures that the OIG is independent and autonomous, so that it may carry out its oversight of such a large and diverse government without political interference.

As part of the General Government strategic area, the OIG routinely reviews and evaluates proposals, contracts and programs for a range of management criteria. The OIG also investigates a variety of cases, including contractors doing business with and/or receiving funds from the County and cases of employee and official misconduct.

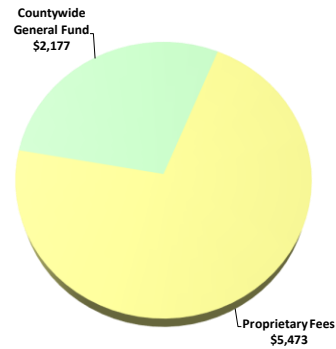
The OIG's jurisdiction encompasses all County departments, agencies, instrumentalities and the programs thereunder. This jurisdiction extends to all County employees, public officials, elected officials and vendors and contractors doing business with the County. The OIG has been designated a criminal justice agency by the Federal Bureau of Investigation and is accredited by the Commission for Florida Law Enforcement Accreditation based upon statewide standards for Offices of Inspectors General.

FY 2021-22 Adopted Operating Budget

Expenditures by Activity
(dollars in thousands)



Revenues by Source
(dollars in thousands)



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TABLE OF ORGANIZATION

<u>INSPECTOR GENERAL</u>	
Provides oversight to Miami-Dade County operations by investigating, auditing and reviewing County programs, projects and contracts to detect and prevent fraud, mismanagement, waste and abuse	
<u>FY 20-21</u>	<u>FY 21-22</u>
40	40

The FY 2021-22 total number of full-time equivalent positions is 40

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DIVISION: INSPECTOR GENERAL

The OIG's principal objective is to promote honesty and efficiency in government and to prevent and detect misconduct, fraud and abuse in County programs and contracts. The OIG strives to ensure that taxpayers get a fair and honest accounting of their money and, where possible, seeks appropriate remedies to recover public funds.

- Communicates the Office's accomplishments through report distribution, website communication and public awareness initiatives
- Investigates, audits and inspects programs, projects and contracts to detect and prevent fraud, mismanagement, waste and abuse
- Provides all professional support to these functions including publicly reporting findings
- Publicly reports findings and initiates or makes civil, administrative and criminal referrals where necessary

Key Department Measures, Strategic Objectives, and Resiliency Drivers

Measures	SO	RD	Type	Good	FY 18-19	FY 19-20	FY 20-21	FY 20-21	FY 21-22
					Actual	Actual	Budget	Projection	Target
Contracts/programs audited and reviewed*	GG1-2	LS-2	OP	↔	47	43	30	76	35
Reports issued**	GG1-2	LS-2	OP	↔	7	12	18	12	20
Advisory memoranda issued**	GG1-2	LS-2	OP	↔	22	18	20	18	22
Complaints received**	GG1-2	LS-2	IN	↔	312	296	300	272	300
Percentage of complainants receiving feedback about initial disposition of complaint within 30 days**	GG1-2	LS-2	OC	↑	95%	97%	98%	100%	95%

*FY 2020-21 Projection, which was revised from the FY 2021-22 Proposed Budget, includes auditing 46 emergency construction contracts awarded through the Miscellaneous Construction Contract 7040 and 7360 programs

**FY 2020-21 Projection revised from the FY 2021-22 Proposed Budget due to more up-to-date information

ADDITIONAL INFORMATION

- The FY 2021-22 Adopted Budget and Multi-Year Capital Plan includes charges of one quarter of one percent to certain procurement and construction contracts (\$4.050 million), as well as additional reimbursements of \$1.032 million for audits and investigative work performed for Aviation (\$500,000), Water and Sewer (\$50,000), Solid Waste Management (\$25,000), Transportation and Public Works (\$150,000) and the Miami-Dade County School Board (\$175,000)
- In FY 2021-22, the OIG issued an audit report covering a pool contract accessed by the Department of Transportation and Public Works for the purchase of parts and repair services for transit buses; an earlier issued audit report of the another pool contract resulted in enhanced procedures implemented by the Strategic Procurement Division of the Internal Services Department involving the Invitation to Quote form and the Non-Collusion Affidavit
- OIG auditors are engaged in auditing departmental utilization of County Miscellaneous Construction Contracts (MCC) Programs 7040 and 7360; the auditors are assessing departmental compliance with program rules for accessing the contracting pools, observance of rotational policy, emergency usage, and adherence with prompt payment provisions; these MCC programs are the County's main vehicle for procuring construction services under \$5 million
- In FY 2021-22, the OIG will continue its contract oversight of the County's Advanced Traffic Management System (ATMS); this \$160 million contract involves the installation of new synchronized traffic signals and video detection technology throughout the County's 3,000 intersections; full completion of this project is expected to take four years

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- OIG contract oversight activity continues at the Water and Sewer Department (WASD) as the Consent Decree (CD) Program nears 70 percent completion; the OIG monitors WASD utilization of the program and construction management professional services agreement, as well as the individual construction projects comprising the CD Program
- The OIG is monitoring negotiations and work activities on several of the SMART Plan transit corridors; the Office commented on the Interim Agreement for the Rapid Transit Solution for the Beach Corridor Truck Line and continues to oversee negotiations towards a final agreement; other areas monitored include the design/build contract awarded for the South Corridor Bus Rapid Transit Project and the procurement of a Mass Rapid Transit Solution for the North Corridors; this oversight effort is on-going and will continue for years to come
- OIG investigations into vendor and contractor activities touch multiple departments including WASD, Transportation and Public Works, Seaport, Public Housing and Community Development, Regulatory and Economic Resources, and Aviation
- Pursuant to a Memorandum of Understanding with the County Administration, which provides funding for independent and external oversight (\$132,000), the OIG has been monitoring the new Civil and Probate Courthouse project; OIG oversight activities involving the design of the building began in FY 2020-21; for FY 2021-22, OIG oversight will also include monitoring sitework and pre-procurement planning of furniture, fixture and equipment
- In FY 2020-21, the OIG partnered with the U.S. Department of Justice’s Procurement Collusion Task Force and with other federal agencies monitoring relief funds received by Miami-Dade County pursuant to the Coronavirus Aid, Relief, and Economic Security Act (CARES); the OIG’s investigative efforts with these federal partners will continue in FY 2021-22
- In FY 2021-22, the OIG will undergo reaccreditation by the Florida Commission on Law Enforcement Accreditation and will submit to a peer review conducted by examiners from the Association of Inspectors General; these external reviews, which take place every three years, are important measures to assure that the OIG’s operations adhere to professional standards

SELECTED ITEM HIGHLIGHTS AND DETAILS

Line-Item Highlights	(dollars in thousands)				
	Actual FY 18-19	Actual FY 19-20	Budget FY 20-21	Projection FY 20-21	Budget FY 21-22
Advertising	0	0	0	0	0
Fuel	0	0	0	0	0
Overtime	0	0	0	0	0
Rent	0	0	0	0	0
Security Services	1	2	2	1	2
Temporary Services	0	0	0	0	0
Travel and Registration	35	52	52	18	30
Utilities	25	30	25	19	17

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OPERATING FINANCIAL SUMMARY

(dollars in thousands)	Actual FY 18-19	Actual FY 19-20	Budget FY 20-21	Adopted FY 21-22
Revenue Summary				
General Fund Countywide	721	1,631	862	2,177
Carryover	1,671	1,465	1,469	391
Departmental Oversight (MOUs)	866	778	977	1,032
Fees and Charges	4,438	4,874	4,066	4,050
Interest Earnings	62	27	0	0
Miscellaneous Revenues	33	11	0	0
Total Revenues	7,791	8,786	7,374	7,650

Operating Expenditures

Summary

Salary	4,672	4,967	5,381	5,442
Fringe Benefits	1,438	1,533	1,646	1,867
Court Costs	0	0	1	1
Contractual Services	0	0	2	2
Other Operating	124	109	209	207
Charges for County Services	59	78	76	72
Capital	33	35	59	59
Total Operating Expenditures	6,326	6,722	7,374	7,650

Non-Operating Expenditures

Summary

Transfers	0	0	0	0
Distribution of Funds In Trust	0	0	0	0
Debt Service	0	0	0	0
Depreciation, Amortizations and Depletion	0	0	0	0
Reserve	0	0	0	0
Total Non-Operating Expenditures	0	0	0	0

(dollars in thousands)	Total Funding		Total Positions	
Expenditure By Program	Budget FY 20-21	Adopted FY 21-22	Budget FY 20-21	Adopted FY 21-22
Strategic Area: General Government				
Inspector General	7,374	7,650	40	40
Total Operating Expenditures	7,374	7,650	40	40