

FY 2021 - 22 Proposed Budget and Multi-Year Capital Plan

Inspector General

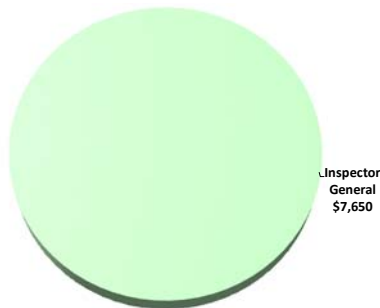
The Office of the Inspector General (OIG) serves the residents of Miami-Dade County by identifying fraud, mismanagement, waste and abuse of power in County projects, programs and contracts. Created by the Board of County Commissioners (BCC) in response to the public's demand for more accountable government, the enacting legislation ensures that the OIG is independent and autonomous, so that it may carry out its oversight of such a large and diverse government without political interference.

As part of the General Government strategic area, the OIG routinely reviews and evaluates proposals, contracts and programs for a range of management criteria. The OIG also investigates a variety of cases, including contractors doing business with and/or receiving funds from the County and cases of employee and official misconduct.

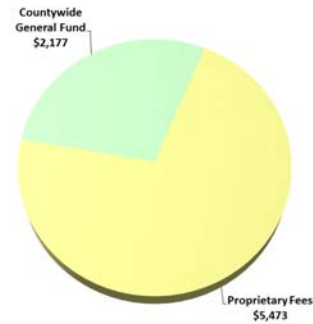
The OIG's jurisdiction encompasses all County departments, agencies, instrumentalities and the programs thereunder. This jurisdiction extends to all County employees, public officials, elected officials and vendors and contractors doing business with the County. The OIG has been designated a criminal justice agency by the Federal Bureau of Investigation and is accredited by the Commission for Florida Law Enforcement Accreditation based upon statewide standards for Offices of Inspectors General.

FY 2021-22 Proposed Operating Budget

Expenditures by Activity
(dollars in thousands)



Revenues by Source
(dollars in thousands)



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TABLE OF ORGANIZATION

<u>INSPECTOR GENERAL</u>	
Provides oversight to Miami-Dade County operations by investigating, auditing and reviewing County programs, projects and contracts to detect and prevent fraud, mismanagement, waste and abuse	
<u>FY 20-21</u> 40	<u>FY 21-22</u> 40

The FY 2021-22 total number of full-time equivalent positions is 40

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DIVISION: INSPECTOR GENERAL

The OIG's principal objective is to promote honesty and efficiency in government and to prevent and detect misconduct, fraud and abuse in County programs and contracts. The OIG strives to ensure that taxpayers get a fair and honest accounting of their money and, where possible, seeks appropriate remedies to recover public funds.

- Communicates the Office's accomplishments through report distribution, website communication and public awareness initiatives
- Investigates, audits and inspects programs, projects and contracts to detect and prevent fraud, mismanagement, waste and abuse
- Provides all professional support to these functions including publicly reporting findings
- Publicly reports findings and initiates or makes civil, administrative and criminal referrals where necessary

Key Department Measures, Strategic Objectives, and Resiliency Drivers

Measures	SO	RD	Type	Good	FY 18-19	FY 19-20	FY 20-21	FY 20-21	FY 21-22
					Actual	Actual	Budget	Projection	Target
Contracts/programs audited and reviewed	GG1-2	LS-2	OP	↔	47	43	30	35	35
Reports issued	GG1-2	LS-2	OP	↔	7	12	18	18	20
Advisory memoranda issued	GG1-2	LS-2	OP	↔	22	18	20	20	22
Complaints received	GG1-2	LS-2	IN	↔	312	296	300	250	300
Percentage of complainants receiving feedback about initial disposition of complaint within 30 days	GG1-2	LS-2	OC	↑	95%	97%	98%	95%	95%
Percentage of preliminary inquiries where the decision to proceed (or not) is determined within 90 days*	GG1-2	LS-2	OC	↑	N/A	N/A	N/A	90%	93%

* This is a new measure the Office implemented in FY 2020-21

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ADDITIONAL INFORMATION

- In FY 2020-21, two positions were added to the OIG's budget: one OIG Contract Oversight Specialist, which has been filled to provide additional oversight of capital construction projects, and one Administrative Assistant position, which has been kept vacant until the resumption of normal on-site operations
- The FY 2021-22 Proposed Budget and Multi-Year Capital Plan includes charges of one quarter of one percent to certain procurement and construction contracts (\$4.050 million), as well as additional reimbursements of \$1.032 million for audits and investigative work performed for Aviation (\$500,000), Water and Sewer (\$50,000), Solid Waste Management (\$25,000), Transportation and Public Works (\$150,000) and the Miami-Dade County School Board (\$175,000)
- In FY 2020-21, the OIG website's "report fraud" page was enhanced to allow users to upload documents and photos as part of their submitting a complaint to the OIG
- In FY 2020-21, the OIG issued an audit report covering a pool contract accessed by multiple departments for the purchase of electrical and electronic components, tools, parts and supplies; the OIG selected four departments (Seaport, Public Housing and Community Development, Libraries, and Solid Waste Management) for further audit testing; a second audit of a pool contract involving the purchase of parts and repair services for transit buses is expected to be finalized this fiscal year; new audits undertaken by the OIG involve emergency construction contracts awarded under the County's Miscellaneous Construction Contracts 7040 and 7360
- In FY 2020-21, the OIG's procurement oversight on the County's Advanced Traffic Management System (ATMS) ended when a \$160 million contract was awarded for the installation of new synchronized traffic signals and video detection technology throughout the County's 3,000 intersections; the OIG has transitioned its oversight efforts to monitor contract implementation, product delivery, construction and installation; full completion of this project is expected to take four years
- OIG contract oversight activity continues at the Water and Sewer Department (WASD) as the Consent Decree (CD) Program nears 70 percent completion; the OIG monitors WASD utilization of the program and construction management professional services agreement, as well as the individual construction projects comprising the CD Program
- The OIG is monitoring negotiations and work activities on several of the SMART Plan transit corridors; the Office commented on the Interim Agreement for the Rapid Transit Solution for the Beach Corridor Truck Line and continues to oversee negotiations towards a final agreement; other areas monitored include the design/build contract awarded for the South Corridor Bus Rapid Transit Project and the procurement of a Mass Rapid Transit Solution for the North Corridors; this oversight effort is on-going and will continue for years to come
- OIG investigations into vendor and contractor activities touch multiple departments including WASD, Transportation and Public Works, Seaport, Public Housing and Community Development, Regulatory and Economic Resources, and Aviation
- In FY 2020-21, the OIG began monitoring the design phase of the new Civil and Probate Courthouse as the project commences with funding from the project (\$132,000)
- Since April 2020, the OIG has been engaged in monitoring several COVID-19 procurements and operations, including the County's Emergency Meals Program and the contracts for janitorial services and temporary event staffing related to the re-opening of the County's parks
- In FY 2020-21, the OIG has partnered with the U.S. Department of Justice's Procurement Collusion Task Force and with other federal agencies monitoring relief funds received by Miami-Dade County pursuant to the Coronavirus Aid, Relief, and Economic Security Act (CARES)
- In FY 2021-22, the OIG will undergo reaccreditation by the Florida Commission on Law Enforcement Accreditation and will submit to a peer review conducted by examiners from the Association of Inspectors General; these external reviews, which take place every three years, are important measures to assure that the OIG's operations adhere to professional standards

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SELECTED ITEM HIGHLIGHTS AND DETAILS

Line Item Highlights	(dollars in thousands)				
	Actual FY 18-19	Actual FY 19-20	Budget FY 20-21	Projection FY 20-21	Proposed FY 21-22
Advertising	0	0	0	0	0
Fuel	0	0	0	0	0
Overtime	0	0	0	0	0
Rent	0	0	0	0	0
Security Services	1	2	2	1	2
Temporary Services	0	0	0	0	0
Travel and Registration	35	52	52	3	30
Utilities	25	30	25	20	17

OPERATING FINANCIAL SUMMARY

(dollars in thousands)	Actual FY 18-19	Actual FY 19-20	Budget FY 20-21	Proposed FY 21-22
Revenue Summary				
General Fund Countywide	721	1,631	862	2,177
Carryover	1,671	1,465	1,469	391
Departmental Oversight (MOUs)	866	778	977	1,032
Fees and Charges	4,438	4,874	4,066	4,050
Interest Earnings	62	27	0	0
Miscellaneous Revenues	33	11	0	0
Total Revenues	7,791	8,786	7,374	7,650

Operating Expenditures Summary				
(dollars in thousands)	Actual FY 18-19	Actual FY 19-20	Budget FY 20-21	Proposed FY 21-22
Salary	4,672	4,967	5,381	5,442
Fringe Benefits	1,438	1,533	1,646	1,867
Court Costs	0	0	1	1
Contractual Services	0	0	2	2
Other Operating	124	109	209	207
Charges for County Services	59	78	76	72
Capital	33	35	59	59
Total Operating Expenditures	6,326	6,722	7,374	7,650

Non-Operating Expenditures Summary				
(dollars in thousands)	Actual FY 18-19	Actual FY 19-20	Budget FY 20-21	Proposed FY 21-22
Transfers	0	0	0	0
Distribution of Funds In Trust	0	0	0	0
Debt Service	0	0	0	0
Depreciation, Amortizations and Depletion	0	0	0	0
Reserve	0	0	0	0
Total Non-Operating Expenditures	0	0	0	0

(dollars in thousands)	Total Funding		Total Positions	
	Budget FY 20-21	Proposed FY 21-22	Budget FY 20-21	Proposed FY 21-22
Strategic Area: General Government				
Inspector General	7,374	7,650	40	40
Total Operating Expenditures	7,374	7,650	40	40