

Scorecard - Audit and Management Services

Information

Name: Audit and Management Services

Description: n/a

Domain: AMS

Owners: Jackson, Cathy (AMS); McKee, Nancy

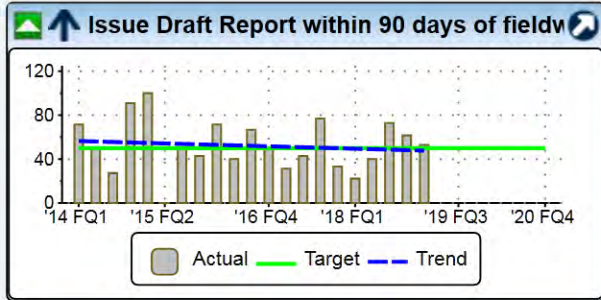
Details

	As Of	Actual	Target	FYTD Actual	FYTD Target
1.0 Customer					
Monitor Auditee Corrective Actions (AMS)					
Complete planned follow-up audits.	'19 FQ1	17%	n/a	17%	n/a
Communicate Audit Results Timely (AMS)					
Issue Draft Report within 90 days of fieldwork completion (new)	'19 FQ1	53% (9 / 17)	50%	53% (9 / 17)	50%
2.0 Financial					
Meet Budget Targets (Audit and Management Services)					
Expen: Total (Audit & Mgmt Svcs)	'19 FQ1	\$1,289K	\$0K	\$1,289K	\$0K
Revenue: Total (Audit & Mgmt Svcs)	'19 FQ1	\$0K	n/a	\$0K	n/a
Positions: Full-Time Filled (AMS)	'19 FQ1	35	n/a	35	n/a
Budget Implementation: FY 10-11 (AMS)					
Initiative Name	Type	As Of	Status	%	Owners
Eliminate 2 vacant Audit Supervisor positions , and 3 vacant Associate Auditor positions. (AMS-1)	n/a	n/a			Jackson, Cathy (AMS)
Sound asset management and financial investment strategies (AMS)					
Net Audit Assessment	'19 FQ1	\$662,484	\$500,000		\$662,484 \$500,000
Audit Assessments Collected	'19 FQ1	\$733,440	\$1,000,000		\$733,440 \$1,000,000
Audit Reports or Deliverables	'19 FQ1	17	40		17 40
Complete Risk Assessment Analysis and Develop Audit Plan	2019 FY	100%	100%		100% 100%
Budget Implementation FY 09-10 (AMS)					
Initiative Name	Type	As Of	Status	%	Owners
Eliminate 6 positions in Audit Services (AMS-1)	10/31/2009	Complete		100%	Jackson, Cathy (AMS)
Eliminate 1 position in Administration (AMS-2)	10/31/2009	Complete		100%	Jackson, Cathy (AMS)
3.0 Internal					
4.0 Learning and Growth					
Staff Development and Certification (AMS)					
Audit Staff Professionally Certified	'19 FQ1	63%	55%		63% 55%
Maintain a High Training Satisfaction Rate.	2018 FY	99%	95%		99% 95%
Hold Quarterly Staff Meetings	'19 FQ1	1	n/a		1 n/a
Complete Evaluations Within 30 Days After Due Date.	'19 FQ1	71%	n/a		71% n/a
Continuing education hours	2018 FY	44	40		44 40

Scorecard	Description	Owners			
Audit and Management Services		Jackson, Cathy (AMS); McKee, Nancy			
1.0 Customer					
Objective	Description	Owners			
Monitor Auditee Corrective Actions (AMS)	Conduct follow-up audits to assure key audit findings are appropriately and timely addressed.	Jackson, Cathy (AMS); McKee, Nancy; Audit Management Services			
Grandparent Objectives	Description	Owners			
GG4 Effective management practices		Miami-Dade County			
Parent Objectives	Description	Owners			
GG4-1 Provide sound financial and risk management		Miami-Dade County			
Measures Linked to Objective	Period	Actual	Target	Variance	Owners
Complete planned follow-up audits.	'19 FQ1	17%	n/a	n/a	Jackson, Cathy (AMS); McKee, Nancy
<p>The chart displays four data series over time:</p> <ul style="list-style-type: none"> Goal-Target-Base: Represented by green vertical bars. Goal-Actual-Base: Represented by grey vertical bars. Goal-Actual-Fiscal Year: Represented by a magenta line. Goal-Target-Fiscal Year: Represented by a teal line. <p>The x-axis labels are: '12 FQ1, '13 FQ4, '15 FQ3, '17 FQ2, '19 FQ1, '20 FQ4. The y-axis scale is 0, 20, 40, 60, 80.</p>					
Objective	Description	Owners			
Communicate Audit Results Timely (AMS)		Jackson, Cathy (AMS); McKee, Nancy; Audit Management Services			
Grandparent Objectives	Description	Owners			
GG4 Effective management practices		Miami-Dade County			
Parent Objectives	Description	Owners			
GG4-1 Provide sound financial and risk management		Miami-Dade County			

Business Plan Report - Audit and Management Services

Measures Linked to Objective	Period	Actual	Target	Variance	Owners
Issue Draft Report within 90 days of fieldwork completion (new) 	'19 FQ1	53% (9 / 17)	50%	3%	Jackson, Cathy (AMS)



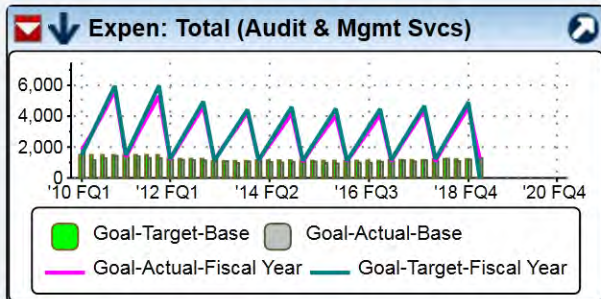
2.0 Financial

Objective	Description	Owners
Meet Budget Targets (Audit and Management Services)		Jackson, Cathy (AMS); McKee, Nancy; Audit Management Services

Grandparent Objectives	Description	Owners
GG4-2 Effectively allocate resources to meet current and future operating and capital needs		Miami-Dade County

Parent Objectives	Description	Owners
Meet Budget Targets (All Miami-Dade County)	This is the parent objectives to all departmental "Meet Budget Targets" objective. This is the child objective to the County's Strategic Plan Objective, "GG4-2: Effectively allocate and utilize resources to meet current and future operating and capital needs."	Moon, Jennifer (OMB)

Measures Linked to Objective	Period	Actual	Target	Variance	Owners
Expen: Total (Audit & Mgmt Svcs) 	'19 FQ1	\$1,289K	\$0K	\$-1,289K	Jackson, Cathy (AMS); McKee, Nancy

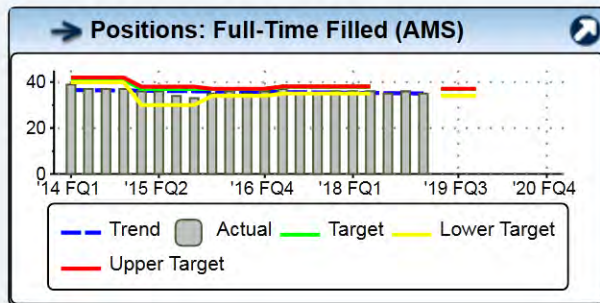


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Child Measures		Period	Actual	Target	Variance	Owners
Expenditure: Personnel Costs (AMS)	▼	'19 FQ1	\$1,248K	\$1,191K	\$57K	Jackson, Cathy (AMS); McKee, Nancy
Expenditure: Court Costs (AMS)	▲	'19 FQ1	\$0K	\$0K	\$0K	Jackson, Cathy (AMS); McKee, Nancy
Expenditure: Contractual Services (AMS)	▲	'19 FQ1	\$0K	\$0K	\$0K	Jackson, Cathy (AMS); McKee, Nancy
Expenditure: Other Operating (AMS)	▲	'19 FQ1	\$30K	\$42K	\$-12K	Jackson, Cathy (AMS); McKee, Nancy
Expenditure: Charges for County Services (AMS)	▲	'19 FQ1	\$9K	\$18K	\$-9K	Jackson, Cathy (AMS); McKee, Nancy
Expenditure: Grants to Outside Organizations (AMS)	▲	'19 FQ1	\$0K	\$0K	\$0K	Jackson, Cathy (AMS); McKee, Nancy
Expenditure: Capital (AMS)	▲	'19 FQ1	\$1K	\$3K	\$2K	Jackson, Cathy (AMS); McKee, Nancy
Expenditure: Transfers Out (AMS)	▲	'19 FQ1	\$0K	\$0K	\$0K	Jackson, Cathy (AMS); McKee, Nancy
Expenditure: Distribution of Funds in Trust (AMS)	▲	'19 FQ1	\$0K	\$0K	\$0K	Jackson, Cathy (AMS); McKee, Nancy
Expenditure: Debt Service (AMS)	▲	'19 FQ1	\$0K	\$0K	\$0K	Jackson, Cathy (AMS); McKee, Nancy
Expenditure: Depreciation, Amortization, Depletion (AMS)	▲	'19 FQ1	\$0K	\$0K	\$0K	Jackson, Cathy (AMS); McKee, Nancy
Expenditure: Reserves (AMS)	▲	'19 FQ1	\$0K	\$0K	\$0K	Jackson, Cathy (AMS); McKee, Nancy
Expenditure: Intradepartmental Transfers (AMS)	▲	'19 FQ1	\$0K	\$0K	\$0K	Jackson, Cathy (AMS); McKee, Nancy
Expenditure: Other Non-Operating (AMS)	▲	'19 FQ1	\$0K	\$0K	\$0K	Jackson, Cathy (AMS); McKee, Nancy
Revenue: Total (Audit & Mgmt Svcs)		'19 FQ1	\$0K	n/a	n/a	Jackson, Cathy (AMS); McKee, Nancy

Child Measures		Period	Actual	Target	Variance	Owners
Revenue: Carryover (AMS)	▲	'19 FQ1	\$0K	\$0K	\$0K	Jackson, Cathy (AMS); McKee, Nancy
Revenue: Interagency/Intradepartmental (AMS)	▼	'19 FQ1	\$0K	\$563K	\$-563K	Jackson, Cathy (AMS); McKee, Nancy
Revenue: General Fund (AMS)	▼	'19 FQ1	\$0K	\$691K	\$-691K	Jackson, Cathy (AMS); McKee, Nancy
Revenue: Proprietary (AMS)	▲	'19 FQ1	\$0K	\$0K	\$0K	Jackson, Cathy (AMS); McKee, Nancy
Revenue: State (AMS)	▲	'19 FQ1	\$0K	\$0K	\$0K	Jackson, Cathy (AMS); McKee, Nancy
Revenue: Federal (AMS)	▲	'19 FQ1	\$0K	\$0K	\$0K	Jackson, Cathy (AMS); McKee, Nancy

Positions: Full-Time Filled (AMS) '19 FQ1 35 n/a n/a Jackson, Cathy (AMS); McKee, Nancy

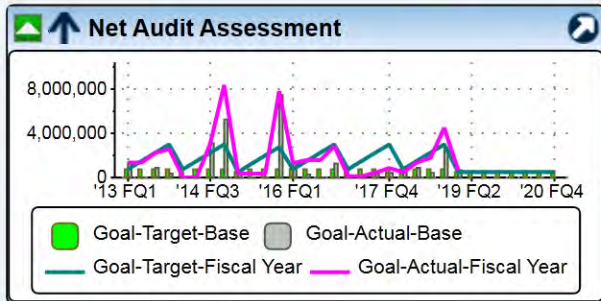


Business Plan Report - Audit and Management Services

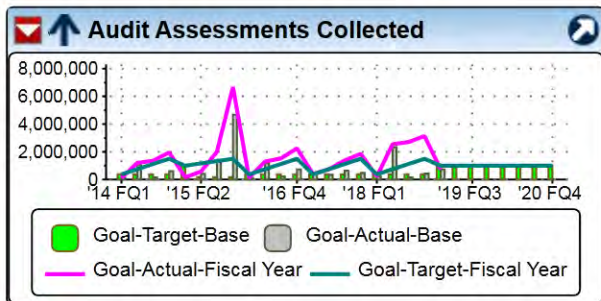
Objective	Description							Owners
Budget Implementation: FY 10-11 (AMS)								Jackson, Cathy (AMS)
Initiatives Linked to Objective	Est. Start	Est. End	Type	As Of		%	Status	Owners
Eliminate 2 vacant Audit Supervisor positions , and 3 vacant Associate Auditor positions. (AMS-1)	10/1/2010	9/30/2011		n/a			n/a	Jackson, Cathy (AMS)

Objective	Description	Owners
Sound asset management and financial investment strategies (AMS)	Use risk-based techniques to identify high risk/high-impact audit subjects in developing the audit plan. Produce constructive recommendations that promote high-impact, specific dollar savings, process improvements, or cost avoidance. Use computerized audit technology to conduct high-impact audits.	Jackson, Cathy (AMS); McKee, Nancy; Audit Management Services
Grandparent Objectives	Description	Owners
GG4 Effective management practices		Miami-Dade County
Parent Objectives	Description	Owners
GG4-1 Provide sound financial and risk management		Miami-Dade County

Measures Linked to Objective	Period	Actual	Target	Variance	Owners
Net Audit Assessment	'19 FQ1	\$662,484	\$500,000	\$162,484	Jackson, Cathy (AMS); McKee, Nancy



Audit Assessments Collected	'19 FQ1	\$733,440	\$1,000,000	\$-266,560	Jackson, Cathy (AMS); McKee, Nancy
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Business Plan Report - Audit and Management Services

Audit Reports or Deliverables

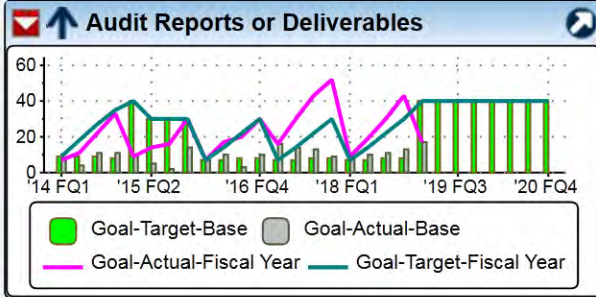


'19 FQ1

17

40

-23 Jackson, Cathy (AMS); McKee, Nancy



Complete Risk Assessment Analysis and Develop Audit Plan

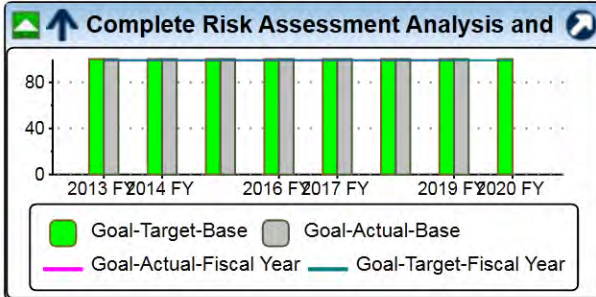


2019 FY

100%

100%

0% Jackson, Cathy (AMS); McKee, Nancy



Objective	Description										Owners	
Budget Implementation FY 09-10 (AMS)											Jackson, Cathy (AMS)	
Initiatives Linked to Objective	Est. Start	Est. End	Type	As Of						%	Status	Owners
Eliminate 6 positions in Audit Services (AMS-1)	10/1/2009	9/30/2010		10/31/2009						100%	Complete	Jackson, Cathy (AMS)
Eliminate 1 position in Administration (AMS-2)	10/1/2009	9/30/2010		10/31/2009						100%	Complete	Jackson, Cathy (AMS)

3.0 Internal

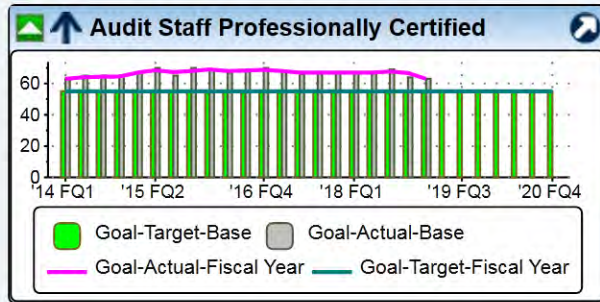
4.0 Learning and Growth

Objective	Description	Owners
Staff Development and Certification (AMS)	Identify staff needs and provide training. Maintain open communication with employees to promote healthy exchange of ideas. Complete annual reviews timely. Give hiring and promotional preference to certified individuals.	Jackson, Cathy (AMS); McKee, Nancy; Audit Management Services
Grandparent Objectives	Description	Owners
GG2 Excellent, engaged workforce		Miami-Dade County

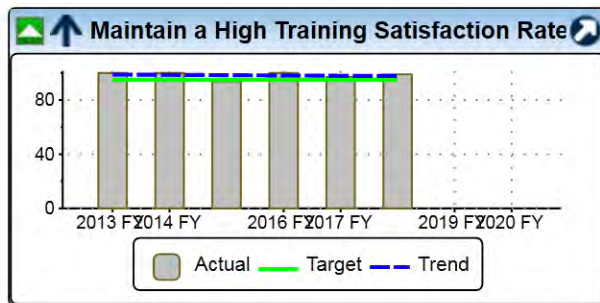
Business Plan Report - Audit and Management Services

Parent Objectives	Description	Owners
GG2-1 Attract and hire new talent		Miami-Dade County
GG2-2 Develop and retain excellent employees and leaders		Miami-Dade County

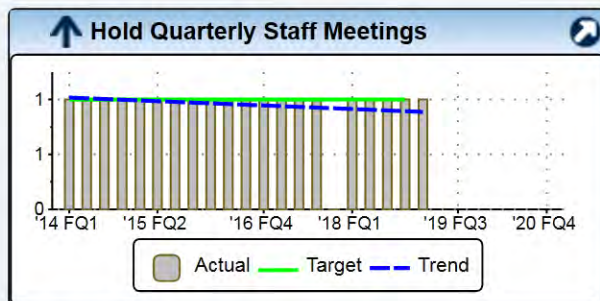
Measures Linked to Objective	Period	Actual	Target	Variance	Owners
Audit Staff Professionally Certified	'19 FQ1	63%	55%	8%	Jackson, Cathy (AMS); McKee, Nancy



Maintain a High Training Satisfaction Rate.	2018 FY	99%	95%	4%	Jackson, Cathy (AMS); McKee, Nancy
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Hold Quarterly Staff Meetings	'19 FQ1	1	n/a	n/a	Jackson, Cathy (AMS); McKee, Nancy
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Complete Evaluations Within 30 Days After Due Date.	'19 FQ1	71%	n/a	n/a	Jackson, Cathy (AMS); McKee, Nancy
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Continuing education hours		2018 FY	44	40	4 Jackson, Cathy (AMS); McKee, Nancy																												
<div><div><div><div></div><div></div></div><div>Continuing education hours</div><div></div></div><div><table><tr><th>Fiscal Year</th><th>Actual</th><th>Target</th><th>Trend</th></tr><tr><td>2014 FY</td><td>58</td><td>40</td><td>52</td></tr><tr><td>2015 FY</td><td>40</td><td>40</td><td>50</td></tr><tr><td>2016 FY</td><td>40</td><td>40</td><td>48</td></tr><tr><td>2018 FY</td><td>40</td><td>40</td><td>44</td></tr><tr><td>2019 FY</td><td>44</td><td>40</td><td>42</td></tr><tr><td>2020 FY</td><td>44</td><td>40</td><td>38</td></tr></table></div></div>						Fiscal Year	Actual	Target	Trend	2014 FY	58	40	52	2015 FY	40	40	50	2016 FY	40	40	48	2018 FY	40	40	44	2019 FY	44	40	42	2020 FY	44	40	38
Fiscal Year	Actual	Target	Trend																														
2014 FY	58	40	52																														
2015 FY	40	40	50																														
2016 FY	40	40	48																														
2018 FY	40	40	44																														
2019 FY	44	40	42																														
2020 FY	44	40	38																														