

FY 2022 - 23 Adopted Budget and Multi-Year Capital Plan

Inspector General

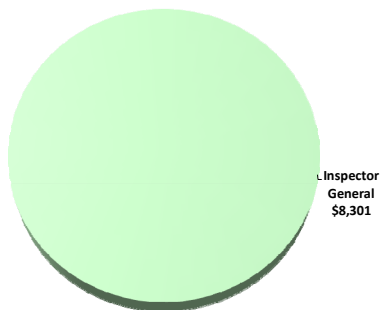
The Office of the Inspector General (OIG) serves the residents of Miami-Dade County by identifying fraud, mismanagement, waste and abuse of power in County projects, programs and contracts. Created by the Board of County Commissioners (BCC) in response to the public's demand for more accountable government, the enacting legislation ensures that the OIG is independent and autonomous, so that it may carry out its oversight of such a large and diverse government without political interference.

As part of the General Government strategic area, the OIG routinely reviews and evaluates proposals, contracts and programs for a range of management criteria. The OIG also investigates a variety of cases, including contractors doing business with and/or receiving funds from the County and cases of employee and official misconduct.

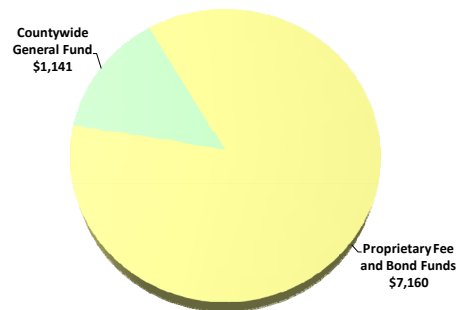
The OIG's jurisdiction encompasses all County departments, agencies, instrumentalities and the programs thereunder. This jurisdiction extends to all County employees, public officials, elected officials and vendors and contractors doing business with the County. The OIG has been designated a criminal justice agency by the Federal Bureau of Investigation and is accredited by the Commission for Florida Law Enforcement Accreditation based upon statewide standards for Offices of Inspectors General.

FY 2022-23 Adopted Operating Budget

Expenditures by Activity
(dollars in thousands)



Revenues by Source
(dollars in thousands)



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TABLE OF ORGANIZATION

<u>INSPECTOR GENERAL</u>		
Provides oversight to Miami-Dade County operations by investigating, auditing and reviewing County programs, projects and contracts to detect and prevent fraud, mismanagement, waste and abuse		
<u>FY 21-22</u>	<u>FY 22-23</u>	
40	42	

The FY 2022-23 total number of full-time equivalent positions is 42

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DIVISION: INSPECTOR GENERAL

The OIG's principal objective is to promote honesty and efficiency in government and to prevent and detect misconduct, fraud and abuse in County programs and contracts. The OIG strives to ensure that taxpayers get a fair and honest accounting of their money and, where possible, seeks appropriate remedies to recover public funds.

- Communicates the Office's accomplishments through report distribution, website communication and public awareness initiatives
- Investigates, audits and inspects programs, projects and contracts to detect and prevent fraud, mismanagement, waste and abuse
- Provides all professional support to these functions including publicly reporting findings
- Publicly reports findings and initiates or makes civil, administrative and criminal referrals where necessary

Strategic Objectives - Measures

- GG1-1: Support a customer-focused organization by providing convenient access to information and services, and by ensuring processes are easy to navigate

Objectives	Measures			FY 19-20	FY 20-21	FY 21-22	FY 21-22	FY 22-23
				Actual	Actual	Budget	Projection	Target
Promote honesty and efficiency in government	Complaints received*	IN	↔	296	272	300	325	300
	Percentage of complainants receiving feedback about initial disposition of complaint within 30 days*	OC	↑	97%	100%	95%	97%	100%
Increase the public's awareness of the OIG's findings by providing easy access to reports and information distributed by the OIG via the Internet	Reports issued*	OP	↔	12	12	20	15	20
	Advisory memoranda issued*	OP	↔	18	18	22	22	20
	Contracts/ programs audited and reviewed**	OP	↔	43	76	35	35	35

*FY 2021-22 Projection revised for the FY 2022-23 Adopted Budget due to more up-to-date information

**FY 2020-21 Actual included auditing 46 emergency construction contracts awarded through the Miscellaneous Construction Contract 7040 and 7360 programs

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ADDITIONAL INFORMATION

- **The FY 2022-23 Adopted Budget includes an additional Administrative Assistant to provide support for activities associated with Resolution R-62-22 amending Implementing Order 3-34 (\$110,000)**
- **The FY 2022-23 Adopted Budget includes an additional Assistant Legal Counsel to provide support for increased workload within OIG's operations (\$178,000)**
- The FY 2022-23 Adopted Budget and Multi-Year Capital Plan includes charges of one quarter of one percent to certain procurement and construction contracts (\$4.3 million), estimated reimbursements of \$1.032 million for audits and investigative work performed for Aviation (\$500,000), Water and Sewer (\$50,000), Solid Waste Management (\$25,000), Transportation and Public Works (\$150,000), the County Civil and Probate Courthouse project (\$132,000) and the Miami-Dade County School Board (\$175,000)
- In FY 2021-22, the OIG finalized and closed two audits involving a pool contract accessed by the Department of Transportation and Public Works (DTPW) for the purchase of parts and repair services for transit buses and another pool contract accessed by multiple departments for electrical supplies; both audits resulted in the implementation of various new procedures to streamline the quotation and purchasing process by department-level buyers; the OIG received a status report from the Strategic Procurement Division of the Internal Services Department (SPD-ISD) explaining how it has implemented a new Invitation to Quote form and a new Non-Collusion Affidavit; the OIG also received a status update from DTPW showing the new forms and checklists it has implemented related to its purchase of bus parts and repair services in response to OIG findings and recommendations
- OIG auditors are engaged in auditing departmental utilization of County Miscellaneous Construction Contracts (MCC) Programs 7040 and 7360; the auditors are assessing departmental compliance with program rules for accessing the contracting pools, observance of rotational policy, emergency usage and adherence with prompt payment provisions; these MCC programs are the County's main vehicle for procuring construction services under \$5 million; auditors are also engaged in a review of construction engineering inspection (CEI) services in relation to the payment for these services that are structured as time and material versus lump sum
- In FY 2022-23, the OIG will continue its contract oversight of the County's Advanced Traffic Management System (ATMS); this \$160 million contract involves the installation of new synchronized traffic signals and video detection technology throughout the County's 3,000 intersections; this project is expected to be completed in 2025
- OIG contract oversight activity continues at the Water and Sewer Department (WASD) as the Consent Decree (CD) Program nears 70 percent completion; the OIG monitors WASD utilization of the program and construction management professional services agreement, as well as the individual construction projects comprising the CD Program
- The OIG is monitoring negotiations and work activities on several of the SMART Plan transit corridors; the OIG commented on the Interim Agreement for the Rapid Transit Solution for the Beach Corridor Trunk Line and continues to oversee negotiations towards a final agreement; other areas monitored include the design/build contract awarded for the South Corridor Bus Rapid Transit Project and the procurement of a Mass Rapid Transit Solution for the North Corridor; this oversight effort is on-going
- OIG investigations into vendor and contractor activities touch multiple departments including WASD, DTPW, Seaport, Public Housing and Community Development, Regulatory and Economic Resources, and Aviation
- OIG investigative priorities include focusing on conflicts of interest, exploitation and abuse of power, and official misconduct
- Pursuant to a Memorandum of Understanding with the County Administration, which provides funding for independent and external oversight (\$132,000), the OIG will continue monitoring the new Civil and Probate Courthouse project; OIG oversight activities involving the design of the building began in FY 2020-21; at present, monitoring is focused on the procurement of furniture, fixtures and equipment; in FY 2022-23, we anticipate construction activities to accelerate
- In FY 2020-21, the OIG partnered with the U.S. Department of Justice's COVID-19 Fraud Enforcement Task Force and with other federal and local agencies monitoring relief funds received by Miami-Dade County pursuant to the Coronavirus Aid, Relief, and Economic Security (CARES) Act; the OIG's investigative efforts with these local enforcement partners will continue in FY 2022-23

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- In April 2022, the OIG underwent a reaccreditation review by the Florida Commission on Law Enforcement Accreditation; this reaccreditation takes place every three years, with the OIG's initial accreditation having taken place in 2010; in addition, in October 2022 the Office will submit to a peer review conducted by examiners from the Association of Inspectors General; the peer review also takes place every three years; these external reviews are important measures to assure that the OIG's operations adhere to professional standards

SELECTED ITEM HIGHLIGHTS AND DETAILS

Line-Item Highlights	(dollars in thousands)				
	Actual FY 19-20	Actual FY 20-21	Budget FY 21-22	Projection FY 21-22	Budget FY 22-23
Advertising	0	0	0	0	0
Fuel	0	0	0	0	0
Overtime	0	0	0	0	0
Rent	0	0	0	0	0
Security Services	2	1	2	2	3
Temporary Services	0	0	0	0	0
Travel and Registration	52	9	30	30	35
Utilities	30	18	17	18	20

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OPERATING FINANCIAL SUMMARY

(dollars in thousands)	Actual FY 19-20	Actual FY 20-21	Budget FY 21-22	Adopted FY 22-23
Revenue Summary				
General Fund Countywide	1,631	862	2,177	1,141
Carryover	1,465	2,064	391	1,793
Departmental Oversight (MOUs)	778	663	1,032	1,032
Fees and Charges	4,874	5,194	4,050	4,335
Interest Earnings	27	4	0	0
Miscellaneous Revenues	11	12	0	0
Total Revenues	8,786	8,799	7,650	8,301
Operating Expenditures Summary				
Salary	4,967	5,086	5,442	5,942
Fringe Benefits	1,533	1,640	1,867	1,953
Court Costs	0	1	1	2
Contractual Services	0	78	2	4
Other Operating	109	109	207	230
Charges for County Services	78	59	72	95
Capital	35	31	59	75
Total Operating Expenditures	6,722	7,004	7,650	8,301
Non-Operating Expenditures Summary				
Transfers	0	0	0	0
Distribution of Funds In Trust	0	0	0	0
Debt Service	0	0	0	0
Depreciation, Amortizations and Depletion	0	0	0	0
Reserve	0	0	0	0
Total Non-Operating Expenditures	0	0	0	0

(dollars in thousands)	Total Funding		Total Positions	
Expenditure By Program	Budget FY 21-22	Adopted FY 22-23	Budget FY 21-22	Adopted FY 22-23
Strategic Area: General Government				
Inspector General	7,650	8,301	40	42
Total Operating Expenditures	7,650	8,301	40	42