

*Disadvantaged  
Business Enterprise  
(DBE) Program*



*Monitoring*  
*to*  
*Ensure DBE*  
*Contracting Opportunities*  
*With Miami-Dade Transit*  
*(MDT)*



# *Contact Information*

*Office of Civil Rights-Labor Relations and  
DBE Program*

*701 NW 1<sup>st</sup> Court, 17<sup>th</sup> Floor*

*Miami, Florida 33136*

*(786) 469-5481*

# *OVERVIEW*

# *DBE MONITORING*

# *Monitoring*

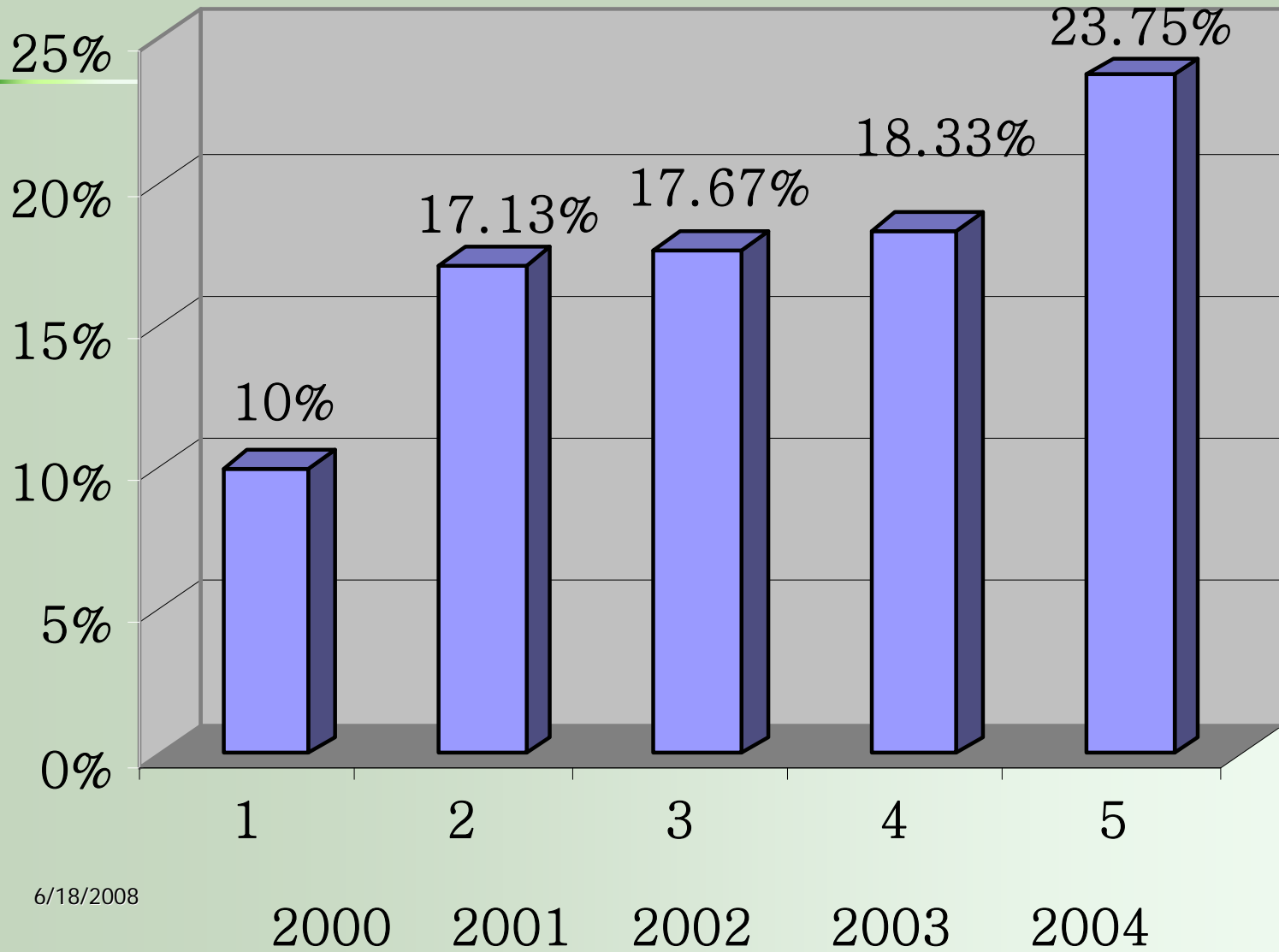
- Is an important component of the DBE Program.
- It is crucial to the success of DBE firms.
- It is a proactive oversight mechanism.
- It maintains regulatory compliances.
- It facilitates solutions, and
- It ensures the attainment of the assigned goals.

- As early as 1990 Miami-Dade County provided opportunities for DBE firms to participate, at increasing levels, on Miami-Dade Transit contracts.
- These opportunities continue and monitoring for inclusion and participation of DBE firms, at contracted levels, ensures FTA and County compliance.

# *From 2000 to Present*

- MDT has awarded more than \$35 million to participating DBE firms.
- The overall level of participation of DBE firms on MDT contracts has increased. Its largest contract participation is at 22%.

# Per Cent of DBE Contract Awards between 2000 and 2004





# *What is a DBE Monitor ?*

- The designated staff who conducts internal monitoring activities to ensure the attainment of, annually established, DBE participation goals and contract compliance for all FTA funded contracts.
- Ensures all program participants compliance with federal requirements pursuant to 49 CFR Part 26 and is the liaison between the MDT, the Prime Contractor, and DBE Firms.

# *Why is Monitoring Necessary ?*

## The DBE Firm

- Preparedness
  - Ensure that firms are ready to do business with MDT, e.g. proper insurances, bonding, and operating capital.
- Assure Readiness
  - To ensure that firms are ready to procure and proceed.

## The Prime Contractor

- Level of Participation
  - To ensure that qualified DBE firms are included on all FTA funded projects at have established goals.
  - To ensure progress toward goal attainment and identifies ways to improve progress.

## The DBE Firm

- Audit Ready
  - It is critical that MDT be audit ready. The monitoring process for participating DBE firms will ensure and maintain regulatory compliances.
- Compliance
  - To ensure contract compliance for participation, adherence to federal objectives, regulations, and codes.

## The Prime Contractor

- Contract Inclusion
  - To ensure that qualified and prepared DBE firms are afforded opportunities to participate on Prime Contracts.
  - To ensure contracting and procurement for the DBE goal inclusion in solicitations
- Compliance
  - Ensures that exhaustive measures are utilized in meeting contract goals.
  - Maintain audit status

# *Frequency of Monitoring*

- To assure DBE Program compliance monitoring activities will occur:
  - Monthly (Audit contract participation, invoicing, payment, EEOP, ADA compliances),
  - Quarterly and as required for proactive and preventative measures, and
  - Annual Progress Report.

# DBE TRAINING SESSIONS

- Periodic DBE training and development workshops are scheduled. These workshops are designed to provide DBE Firms with the necessary information concerning policies and procedures, record keeping, monitoring, and reports required for the DBE Program.



*Get Certified and learn  
how to strengthen your  
company through DBE  
Program support*